# Valued Constraint Satisfaction Problem and Resilience in Database Theory

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Fixed conjunctive query q.

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**Example:** The resilience of

$$q = \exists x, y, z (R(x, y) \land R(y, z))$$

with respect to  $\mathfrak{A}$  is 1 - remove (C, E).



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**Goal:** Classify complexity of resilience for all q.

#### Constraint satisfaction

Fixed  $\tau$ -structure  $\mathfrak{A}$  ( $\tau$  – finite relational signature)

**Input:** list of atomic  $\tau$ -formulas (constraints)

#### Output:

- CSP: Decide whether there is a solution that satisfies all constraints.
- MinCSP: Find the minimal number of constraints to violate so that the remaining constraints are satisfiable simultaneously.
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Observation: VCSP generalizes CSP and MinCSP.

**Proof:** Model the tuples in relations with cost 0 and outside with cost 1 (for MinCSP) or  $\infty$  (for CSP).

#### Focus on VCSP

A valued structure  $\Gamma$  consists of:

- (countable) domain C
- ullet (finite, relational) signature au
- for each  $R \in \tau$  of arity k, a function  $R^{\Gamma} \colon C^k \to \mathbb{Q} \cup \{\infty\}$

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## Definition $(VCSP(\Gamma))$

**Input:**  $u \in \mathbb{Q}$ , an expression

$$\phi(x_1,\ldots,x_n)=\sum_i\psi_i,$$

where each  $\psi_i$  is an atomic  $\tau$ -formula

Question: Is

$$\inf_{t \in C^n} \phi(t) \le u \text{ in } \Gamma?$$

**Input**: G = (V, E) – finite directed (multi)graph

**Goal**: Find a partition  $A \cup B$  of V such that  $E \cap (A \times B)$  is maximal.

Equivalently:  $E \cap (A^2 \cup B^2 \cup B \times A)$  is minimal.

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Let  $\Gamma_{MC}$  be a valued structure where:

- $C = \{0, 1\}$
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Take vertices of G as variables. The size of a maximal cut of G is

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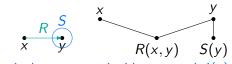
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every instance of VCSP( $\Gamma_{MC}$ ) corresponds to a directed multigraph  $\sim VCSP(\Gamma_{MC})$  is the Max-Cut problem (NP-hard)

#### Example:

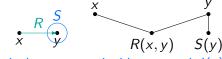
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canonical structure incidence graph I(q)

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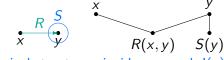
#### Theorem (Cherlin, Shelah, Shi '99)

Let q be a query and  $\mathfrak Q$  its canonical structure. If I(q) is connected, then there exists a structure  $\mathfrak B_q$ , such that for every finite  $\mathfrak A$ :

$$\mathfrak{A} \not\models q \Leftrightarrow \mathfrak{Q} \not\rightarrow \mathfrak{A} \Leftrightarrow \mathfrak{A} \rightarrow \mathfrak{B}_q$$

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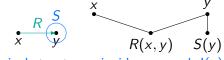
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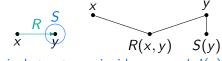
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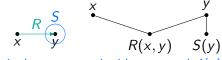
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oligomorphic – countable domain  $B_q$  and the action of  $\operatorname{Aut}(\mathfrak{B}_q)$  on  $B_q^n$  has finitely many orbits for every  $n \geq 1$ 

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**Example:** For every finite directed graph *G* we have:

 $\uparrow \not\to G \Leftrightarrow G \to \uparrow$ 

query q with I(q) connected (WLOG)  $\sim$  obtain the dual structure  $\mathfrak{B}_q \sim$  turn it into a valued structure  $\Gamma_q$  with cost functions taking values 0 and 1

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For every finite G:

 $\mathfrak{B}_q \sim \Gamma_{\mathsf{MC}} = (\{0,1\};R)$ Resilience of  $q = \mathsf{VCSP}(\Gamma_{\mathsf{MC}}) = \mathsf{Max\text{-}Cut}$  is NP-hard

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**Remark:** We have to consider bag databases – a database  $\mathfrak A$  might contain a tuple with multiplicity >1 (differs from the original setting).

**Example:** Input R(x, y) + R(x, y) for VCSP( $\Gamma$ ) corresponds to a database with multiplicity 2 for R(x, y).

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**Question:** For general queries, choose  $\mathfrak{B}_a$  such that  $Aut(\mathfrak{B}_a)$  is oligomorphic  $\Rightarrow$  finitely many orbits of *n*-tuples for every *n*. Can we use some results for finite domains?

## Hard resilience problems

pp-construction – a notion of 'expressing' one valued structure in another (generalizes pp-constructions for classical structures)

**Fact:** If Aut( $\Gamma$ ) is oligomorphic and  $\Gamma$  pp-constructs  $\Delta$ , then VCSP( $\Delta$ ) reduces to VCSP( $\Gamma$ ) in poly-time.

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 $K_3$  is the valued structure on  $\{0,1,2\}$  with single binary relation E defined:

$$E(x,y) = \begin{cases} 0 \text{ if } x \neq y \\ \infty \text{ if } x = y \end{cases}$$



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## Fractional polymorphisms

polymorphism of a relational structure  $\mathfrak{A} - f : A^n \to A$  such that for all relations R of  $\mathfrak{A}$  and  $t^1, \ldots, t^n \in R$ ,  $f(t^1, \ldots, t^n) \in R$  (applied row-wise)

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## Definition (fractional polymorphism)

A fractional polymorphism of  $\Gamma$  of arity n is a probability distribution  $\omega$  on the maps  $f: C^n \to C$  such that for every k-ary  $R \in \tau$  and  $t^1, \ldots, t^n \in C^k$ 

$$\underbrace{E_{\omega}[f \mapsto R(f(t^1, \dots, t^n))]}_{\text{expected value}} \leq \underbrace{\frac{1}{n} \sum_{j=1}^n R(t^j)}_{\text{arithmetic mean}} \quad (\omega \text{ improves } R).$$

# Tractability conjecture

Known for finite-domain VCSPs:

#### **Theorem**

- $\Gamma$  a finite-domain valued structure
  - If  $\Gamma$  does not pp-construct  $K_3$ , then  $\Gamma$  has cyclic fractional polymorphism (essentially [Kozik, Ochremiak '15]).
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**Conjecture:** If  $\Gamma_q$  does not pp-construct  $K_3$ , then the tractability theorem applies and VCSP( $\Gamma_q$ ) and hence resilience of q is in P.

## **Examples**

- $q_{MC} := \exists x, y, z (R(x, y) \land R(y, z))$
- $q_{\text{path}} := \exists x, y, z (R(x, y) \land S(y, z))$
- $q_{\triangle} := \exists x, y, z (R(x, y) \land S(y, z) \land T(z, x))$
- $q_{\mathsf{new}} := \exists x, y \big( S(x) \land R(x,y) \land R(y,x) \land R(y,y) \big)$



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query	complexity	VCSP proof
<i>9</i> MC	NP-hard (FGIM '20)	Max-Cut/pp-constructs $K_3$
$q_{path}$	P (MG)	binary cyclic frac. polymorphism
$q_{ riangle}$	NP-hard (FGIM '15)	pp-constructs $K_3$
$q_{new}$	P (BLS)	binary pseudo cyclic frac. polymorphism

#### References:

- Freire, Gatterbauer, Immerman, Meliou '15
- Freire, Gatterbauer, Immerman, Meliou '20
- Makhija, Gatterbauer '22
- Bodirsky, S., Lutz '24

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