

Spectrahedral shadows and completely positive maps on real closed fields

Manuel Bodirsky

Institut für Algebra, TU Dresden

Joint work with Mario Kummer and Andreas Thom

Pisa, 19. September 2024



European Research Council
Established by the European Commission

ERC Synergy Grant POCOCOP (GA 101071674).

Outline

- 1 Semialgebraic feasibility problems
- 2 Spectrahedral shadows
- 3 Conjecture of Helton-Nie, solved by Scheiderer
- 4 New model theoretic proof, new results
- 5 Open problems

Linear Program Feasibility

Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \cdots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.



Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.

Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?



Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.

Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?

Complexity: in P (there exists a polynomial-time algorithm)



Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.

Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?

Complexity: in P (there exists a polynomial-time algorithm)

- **Simplex algorithm:**



Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.

Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?

Complexity: in P (there exists a polynomial-time algorithm)

- **Simplex algorithm:** good in practice,



Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.

Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?

Complexity: in P (there exists a polynomial-time algorithm)



- **Simplex algorithm:** good in practice,
but exponential worst-case running time for all known pivot strategies

Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.



Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?

Complexity: in P (there exists a polynomial-time algorithm)

- **Simplex algorithm:** good in practice,
but exponential worst-case running time for all known pivot strategies
- **Ellipsoid method:**

Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.



Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?

Complexity: in P (there exists a polynomial-time algorithm)

- **Simplex algorithm:** good in practice,
but exponential worst-case running time for all known pivot strategies
- **Ellipsoid method:** polynomial-time worst case running time,

Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.



Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?

Complexity: in P (there exists a polynomial-time algorithm)

- **Simplex algorithm:** good in practice,
but exponential worst-case running time for all known pivot strategies
- **Ellipsoid method:** polynomial-time worst case running time,
but not very fast in practise

Linear Program Feasibility

Satisfiability of Linear Inequalities:

Input: a finite set of variables V and
a finite set of linear inequalities of the form

$$a_1x_1 + \dots + a_nx_n \leq a_0$$

with variables $x_1, \dots, x_n \in V$

and coefficients $a_0, a_1, \dots, a_n \in \mathbb{Q}$.



Question: Is there a **solution** over \mathbb{Q} (equivalently, over \mathbb{R}), i.e.,
a map $c: V \rightarrow \mathbb{Q}$ that satisfies all the inequalities?

Complexity: in P (there exists a polynomial-time algorithm)

- **Simplex algorithm:** good in practice,
but exponential worst-case running time for all known pivot strategies
- **Ellipsoid method:** polynomial-time worst case running time,
but not very fast in practise

What other forms of constraints can be solved in polynomial time as well?

Semialgebraic Sets

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic**

if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic**

if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Examples.

- $\{(x, y) \in \mathbb{R}^2 \mid x \leq y\}$ has the first-order definition $\exists z(z^2 + x = y)$.

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic**

if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Examples.

- $\{(x, y) \in \mathbb{R}^2 \mid x \leq y\}$ has the first-order definition $\exists z(z^2 + x = y)$.
- $\{(x_1, \dots, x_n) \in \mathbb{R}^n \mid a_1 x_1 + \dots + a_n x_n \leq a_0\}$.

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic**

if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Examples.

- $\{(x, y) \in \mathbb{R}^2 \mid x \leq y\}$ has the first-order definition $\exists z(z^2 + x = y)$.
- $\{(x_1, \dots, x_n) \in \mathbb{R}^n \mid a_1 x_1 + \dots + a_n x_n \leq a_0\}$.
- $\{(x, y) \in \mathbb{R}^2 \mid y \geq x^2\}$.

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic**

if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Examples.

- $\{(x, y) \in \mathbb{R}^2 \mid x \leq y\}$ has the first-order definition $\exists z(z^2 + x = y)$.
- $\{(x_1, \dots, x_n) \in \mathbb{R}^n \mid a_1 x_1 + \dots + a_n x_n \leq a_0\}$.
- $\{(x, y) \in \mathbb{R}^2 \mid y \geq x^2\}$.
- $\{(x, y) \in \{0, 1, 2\}^2 \mid x \neq y\}$.

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic**

if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Examples.

- $\{(x, y) \in \mathbb{R}^2 \mid x \leq y\}$ has the first-order definition $\exists z(z^2 + x = y)$.
- $\{(x_1, \dots, x_n) \in \mathbb{R}^n \mid a_1 x_1 + \dots + a_n x_n \leq a_0\}$.
- $\{(x, y) \in \mathbb{R}^2 \mid y \geq x^2\}$.
- $\{(x, y) \in \{0, 1, 2\}^2 \mid x \neq y\}$.

Geometric perspective (Tarski-Seidenberg quantifier elimination):

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic** if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Examples.

- $\{(x, y) \in \mathbb{R}^2 \mid x \leq y\}$ has the first-order definition $\exists z(z^2 + x = y)$.
- $\{(x_1, \dots, x_n) \in \mathbb{R}^n \mid a_1 x_1 + \dots + a_n x_n \leq a_0\}$.
- $\{(x, y) \in \mathbb{R}^2 \mid y \geq x^2\}$.
- $\{(x, y) \in \{0, 1, 2\}^2 \mid x \neq y\}$.

Geometric perspective (Tarski-Seidenberg quantifier elimination):

$S \subseteq \mathbb{R}^n$ is semialgebraic **if and only if**

S is a union of intersections of (strict and weak) polynomial inequalities.

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic**

if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Examples.

- $\{(x, y) \in \mathbb{R}^2 \mid x \leq y\}$ has the first-order definition $\exists z(z^2 + x = y)$.
- $\{(x_1, \dots, x_n) \in \mathbb{R}^n \mid a_1 x_1 + \dots + a_n x_n \leq a_0\}$.
- $\{(x, y) \in \mathbb{R}^2 \mid y \geq x^2\}$.
- $\{(x, y) \in \{0, 1, 2\}^2 \mid x \neq y\}$.

Geometric perspective (Tarski-Seidenberg quantifier elimination):

$S \subseteq \mathbb{R}^n$ is semialgebraic **if and only if**

S is a union of intersections of (strict and weak) polynomial inequalities.

Satisfiability of constraints of the form $x \neq y$ over $\{0, 1, 2\}$:

Semialgebraic Sets

A set $S \subseteq \mathbb{R}^n$ is called **semialgebraic**

if it is first-order definable in $(\mathbb{R}; +, *)$ with parameters from \mathbb{R} .

$\exists, \forall, \wedge, \vee, \neg, =$

Examples.

- $\{(x, y) \in \mathbb{R}^2 \mid x \leq y\}$ has the first-order definition $\exists z(z^2 + x = y)$.
- $\{(x_1, \dots, x_n) \in \mathbb{R}^n \mid a_1 x_1 + \dots + a_n x_n \leq a_0\}$.
- $\{(x, y) \in \mathbb{R}^2 \mid y \geq x^2\}$.
- $\{(x, y) \in \{0, 1, 2\}^2 \mid x \neq y\}$.

Geometric perspective (Tarski-Seidenberg quantifier elimination):

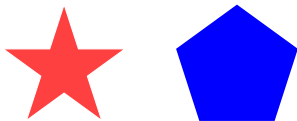
$S \subseteq \mathbb{R}^n$ is semialgebraic **if and only if**

S is a union of intersections of (strict and weak) polynomial inequalities.

Satisfiability of constraints of the form $x \neq y$ over $\{0, 1, 2\}$:

NP-hard (no polynomial-time algorithm unless $P=NP$).

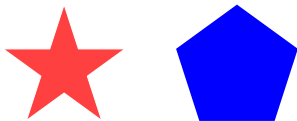
Convexity



A set $S \subseteq \mathbb{R}^n$ is called **convex** if for all $a, b \in S$ we have

$$\{\alpha a + (1 - \alpha)b \mid \alpha \in [0, 1]\} \subseteq S.$$

Convexity



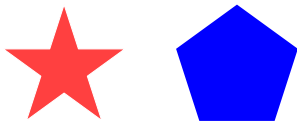
A set $S \subseteq \mathbb{R}^n$ is called **convex** if for all $a, b \in S$ we have

$$\{\alpha a + (1 - \alpha)b \mid \alpha \in [0, 1]\} \subseteq S.$$

Equivalently, if S is **semialgebraic**: for all $a, b \in S$ we have

$$(a + b)/2 \in S.$$

Convexity



A set $S \subseteq \mathbb{R}^n$ is called **convex** if for all $a, b \in S$ we have

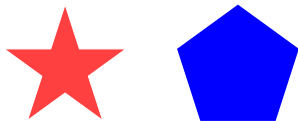
$$\{\alpha a + (1 - \alpha)b \mid \alpha \in \{0, 1\}\} \subseteq S.$$

Equivalently, if S is **semialgebraic**: for all $a, b \in S$ we have

$$(a + b)/2 \in S.$$

Thus, S has a 'binary symmetric polymorphism': a universal-algebraic obstruction to standard hardness proofs.

Convexity



A set $S \subseteq \mathbb{R}^n$ is called **convex** if for all $a, b \in S$ we have

$$\{\alpha a + (1 - \alpha)b \mid \alpha \in [0, 1]\} \subseteq S.$$

Equivalently, if S is **semialgebraic**: for all $a, b \in S$ we have

$$(a + b)/2 \in S.$$

Thus, S has a ‘binary symmetric polymorphism’: a universal-algebraic obstruction to standard hardness proofs.

Example of semialgebraic convex set:

$$\{(x, y) \in \mathbb{R}^2 \mid y \geq x^2\}$$

Complexity

Satisfiability problem for convex semialgebraic sets:

Complexity

Satisfiability problem for convex semialgebraic sets:

input representation?

Complexity

Satisfiability problem for convex semialgebraic sets:

input representation?

Options: each of the sets is given as a

Complexity

Satisfiability problem for convex semialgebraic sets:

input representation?

Options: each of the sets is given as a

- Disjunction of conjunctions of polynomial inequalities

Complexity

Satisfiability problem for convex semialgebraic sets:

input representation?

Options: each of the sets is given as a

- Disjunction of conjunctions of polynomial inequalities
- Conjunction of disjunctions of polynomial inequalities

Complexity

Satisfiability problem for convex semialgebraic sets:

input representation?

Options: each of the sets is given as a

- Disjunction of conjunctions of polynomial inequalities
- Conjunction of disjunctions of polynomial inequalities
- ...

Complexity

Satisfiability problem for convex semialgebraic sets:

input representation?

Options: each of the sets is given as a

- Disjunction of conjunctions of polynomial inequalities
- Conjunction of disjunctions of polynomial inequalities
- ...

Representation of coefficients?

Satisfiability problem for convex semialgebraic sets:

input representation?

Options: each of the sets is given as a

- Disjunction of conjunctions of polynomial inequalities
- Conjunction of disjunctions of polynomial inequalities
- ...

Representation of coefficients?

Note. If there are only finitely many types of allowed constraints, representability questions are trivial.

Complexity

Satisfiability problem for convex semialgebraic sets:

input representation?

Options: each of the sets is given as a

- Disjunction of conjunctions of polynomial inequalities
- Conjunction of disjunctions of polynomial inequalities
- ...

Representation of coefficients?

Note. If there are only finitely many types of allowed constraints, representability questions are trivial.

Example. All constraints of the form

$$x + y = z$$

$$x \leq y$$

$$x = 1$$

Primitive Positive Definitions

Primitive Positive Definitions

A **primitive positive (pp) formula** is a formula of the form

$$\exists x_1, \dots, x_n (\psi_1 \wedge \dots \wedge \psi_m)$$

where ψ_1, \dots, ψ_m are atomic formulas (no parameters unless mentioned).

Primitive Positive Definitions

A **primitive positive (pp) formula** is a formula of the form

$$\exists x_1, \dots, x_n (\psi_1 \wedge \dots \wedge \psi_m)$$

where ψ_1, \dots, ψ_m are atomic formulas (no parameters unless mentioned).
If \mathfrak{B} is a structure and $\phi(y_1, \dots, y_k)$ is a formula over the signature of \mathfrak{B} ,
then ϕ defines the relation

$$\{(a_1, \dots, a_k) \in B^k \mid \mathfrak{B} \models \phi(a_1, \dots, a_k)\}.$$

Primitive Positive Definitions

A **primitive positive (pp) formula** is a formula of the form

$$\exists x_1, \dots, x_n (\psi_1 \wedge \dots \wedge \psi_m)$$

where ψ_1, \dots, ψ_m are atomic formulas (no parameters unless mentioned).
If \mathfrak{B} is a structure and $\phi(y_1, \dots, y_k)$ is a formula over the signature of \mathfrak{B} ,
then ϕ defines the relation

$$\{(a_1, \dots, a_k) \in B^k \mid \mathfrak{B} \models \phi(a_1, \dots, a_k)\}.$$

A set S is **pp-definable** in \mathfrak{B} if there exists a pp formula ϕ that defines S in \mathfrak{B} .

Primitive Positive Definitions

A **primitive positive (pp) formula** is a formula of the form

$$\exists x_1, \dots, x_n (\psi_1 \wedge \dots \wedge \psi_m)$$

where ψ_1, \dots, ψ_m are atomic formulas (no parameters unless mentioned).
If \mathfrak{B} is a structure and $\phi(y_1, \dots, y_k)$ is a formula over the signature of \mathfrak{B} ,
then ϕ defines the relation

$$\{(a_1, \dots, a_k) \in B^k \mid \mathfrak{B} \models \phi(a_1, \dots, a_k)\}.$$

A set S is **pp-definable** in \mathfrak{B} if there exists a pp formula ϕ that defines S in \mathfrak{B} .

Notes.

- If all relations of \mathfrak{B} are semialgebraic, then every relation with a pp-definition in \mathfrak{B} is semialgebraic.

Primitive Positive Definitions

A **primitive positive (pp) formula** is a formula of the form

$$\exists x_1, \dots, x_n (\psi_1 \wedge \dots \wedge \psi_m)$$

where ψ_1, \dots, ψ_m are atomic formulas (no parameters unless mentioned).
If \mathfrak{B} is a structure and $\phi(y_1, \dots, y_k)$ is a formula over the signature of \mathfrak{B} ,
then ϕ defines the relation

$$\{(a_1, \dots, a_k) \in B^k \mid \mathfrak{B} \models \phi(a_1, \dots, a_k)\}.$$

A set S is **pp-definable** in \mathfrak{B} if there exists a pp formula ϕ that defines S in \mathfrak{B} .

Notes.

- If all relations of \mathfrak{B} are semialgebraic, then every relation with a pp-definition in \mathfrak{B} is semialgebraic.
- If all relations of \mathfrak{B} are convex, then every relation with a pp-definition in \mathfrak{B} is convex.

Linear Feasibility Problem and PP-Definitions

Linear Feasibility Problem and PP-Definitions

Lemma. For every $a_0, a_1, \dots, a_k \in \mathbb{Q}$, the set

$$\{(x_1, \dots, x_k) \mid a_1 x_1 + \dots + a_k x_k \leq a_0\}$$

has a primitive positive definition in

$$\mathfrak{B} = (\mathbb{R}; R_+, \leq, 1) \text{ where } R_+ = \{(x, y, z) \mid x + y = z\}$$

Linear Feasibility Problem and PP-Definitions

Lemma. For every $a_0, a_1, \dots, a_k \in \mathbb{Q}$, the set

$$\{(x_1, \dots, x_k) \mid a_1 x_1 + \dots + a_k x_k \leq a_0\}$$

has a primitive positive definition in

$$\mathfrak{B} = (\mathbb{R}; R_+, \leq, 1) \text{ where } R_+ = \{(x, y, z) \mid x + y = z\}$$

The size of the formula is linear in the representation size of a_0, a_1, \dots, a_k .

Linear Feasibility Problem and PP-Definitions

Lemma. For every $a_0, a_1, \dots, a_k \in \mathbb{Q}$, the set

$$\{(x_1, \dots, x_k) \mid a_1 x_1 + \dots + a_k x_k \leq a_0\}$$

has a primitive positive definition in

$$\mathfrak{B} = (\mathbb{R}; R_+, \leq, 1) \text{ where } R_+ = \{(x, y, z) \mid x + y = z\}$$

The size of the formula is linear in the representation size of a_0, a_1, \dots, a_k .

Corollary. Satisfaction problem for given linear inequalities reduces to satisfaction problem for relations from \mathfrak{B} .

Linear Feasibility Problem and PP-Definitions

Lemma. For every $a_0, a_1, \dots, a_k \in \mathbb{Q}$, the set

$$\{(x_1, \dots, x_k) \mid a_1 x_1 + \dots + a_k x_k \leq a_0\}$$

has a primitive positive definition in

$$\mathfrak{B} = (\mathbb{R}; R_+, \leq, 1) \text{ where } R_+ = \{(x, y, z) \mid x + y = z\}$$

The size of the formula is linear in the representation size of a_0, a_1, \dots, a_k .

Corollary. Satisfaction problem for given linear inequalities reduces to satisfaction problem for relations from \mathfrak{B} .

Question. Is there a set of 'basic' convex semialgebraic sets that can pp-define all others?

Spectrahedra

$A \in \mathbb{R}^{k \times k}$ real symmetric matrix.

Spectrahedra

$A \in \mathbb{R}^{k \times k}$ real symmetric matrix.

$A \succeq 0$: A is **positive semidefinite**, i.e., $y^\top A y \geq 0$ for all $y \in \mathbb{R}^k$.

Spectrahedra

$A \in \mathbb{R}^{k \times k}$ real symmetric matrix.

$A \succeq 0$: A is **positive semidefinite**, i.e., $y^\top A y \geq 0$ for all $y \in \mathbb{R}^k$.

$S \subseteq \mathbb{R}^n$ is called a **spectrahedron** if

$$S = \{(x_1, \dots, x_n) \mid \underbrace{A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0}_{\text{'linear matrix inequality (LMI)'}}\}$$

for some symmetric $A_0, A_1, \dots, A_n \in \mathbb{R}^{k \times k}$.

Spectrahedra

$A \in \mathbb{R}^{k \times k}$ real symmetric matrix.

$A \succeq 0$: A is **positive semidefinite**, i.e., $y^\top A y \geq 0$ for all $y \in \mathbb{R}^k$.

$S \subseteq \mathbb{R}^n$ is called a **spectrahedron** if

$$S = \{(x_1, \dots, x_n) \mid \underbrace{A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0}_{\text{'linear matrix inequality (LMI)'}}\}$$

for some symmetric $A_0, A_1, \dots, A_n \in \mathbb{R}^{k \times k}$.

Feasible regions of **semidefinite programs (SDP)**.

Spectrahedra

$A \in \mathbb{R}^{k \times k}$ real symmetric matrix.

$A \succeq 0$: A is **positive semidefinite**, i.e., $y^\top A y \geq 0$ for all $y \in \mathbb{R}^k$.

$S \subseteq \mathbb{R}^n$ is called a **spectrahedron** if

$$S = \{(x_1, \dots, x_n) \mid \underbrace{A_0 + A_1 x_1 + \dots + A_n x_n}_{\text{'linear matrix inequality (LMI)'}} \succeq 0\}$$

for some symmetric $A_0, A_1, \dots, A_n \in \mathbb{R}^{k \times k}$.

Feasible regions of **semidefinite programs (SDP)**.

Example.

$$S = \left\{ (x, y) \mid \begin{pmatrix} 1 & x \\ x & y \end{pmatrix} \succeq 0 \right\} = \{(x, y) \mid y - x^2 \geq 0\}$$

Spectrahedra

$A \in \mathbb{R}^{k \times k}$ real symmetric matrix.

$A \succeq 0$: A is **positive semidefinite**, i.e., $y^\top A y \geq 0$ for all $y \in \mathbb{R}^k$.

$S \subseteq \mathbb{R}^n$ is called a **spectrahedron** if

$$S = \{(x_1, \dots, x_n) \mid \underbrace{A_0 + A_1 x_1 + \dots + A_n x_n}_{\text{'linear matrix inequality (LMI)'}} \succeq 0\}$$

for some symmetric $A_0, A_1, \dots, A_n \in \mathbb{R}^{k \times k}$.

Feasible regions of **semidefinite programs (SDP)**.

Example.

$$S = \left\{ (x, y) \mid \begin{pmatrix} 1 & x \\ x & y \end{pmatrix} \succeq 0 \right\} = \{(x, y) \mid y - x^2 \geq 0\}$$

Obs. Spectrahedra are

- semialgebraic,

Spectrahedra

$A \in \mathbb{R}^{k \times k}$ real symmetric matrix.

$A \succeq 0$: A is **positive semidefinite**, i.e., $y^\top A y \geq 0$ for all $y \in \mathbb{R}^k$.

$S \subseteq \mathbb{R}^n$ is called a **spectrahedron** if

$$S = \{(x_1, \dots, x_n) \mid \underbrace{A_0 + A_1 x_1 + \dots + A_n x_n}_{\text{'linear matrix inequality (LMI)'}} \succeq 0\}$$

for some symmetric $A_0, A_1, \dots, A_n \in \mathbb{R}^{k \times k}$.

Feasible regions of **semidefinite programs (SDP)**.

Example.

$$S = \left\{ (x, y) \mid \begin{pmatrix} 1 & x \\ x & y \end{pmatrix} \succeq 0 \right\} = \{(x, y) \mid y - x^2 \geq 0\}$$

Obs. Spectrahedra are

- semialgebraic,
- convex,

Spectrahedra

$A \in \mathbb{R}^{k \times k}$ real symmetric matrix.

$A \succeq 0$: A is **positive semidefinite**, i.e., $y^\top A y \geq 0$ for all $y \in \mathbb{R}^k$.

$S \subseteq \mathbb{R}^n$ is called a **spectrahedron** if

$$S = \{(x_1, \dots, x_n) \mid \underbrace{A_0 + A_1 x_1 + \dots + A_n x_n}_{\text{'linear matrix inequality (LMI)'}} \succeq 0\}$$

for some symmetric $A_0, A_1, \dots, A_n \in \mathbb{R}^{k \times k}$.

Feasible regions of **semidefinite programs (SDP)**.

Example.

$$S = \left\{ (x, y) \mid \begin{pmatrix} 1 & x \\ x & y \end{pmatrix} \succeq 0 \right\} = \{(x, y) \mid y - x^2 \geq 0\}$$

Obs. Spectrahedra are

- semialgebraic,
- convex,
- closed under finite intersections.

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions,

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure,

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure, convex duality, ... (many authors)

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure, convex duality, ... (many authors)
- Positive answer conjectured by Helton and Nie 2009

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure, convex duality, ... (many authors)
- Positive answer conjectured by Helton and Nie 2009
- Scheiderer 2018a: question confirmed for $n = 2$.

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure, convex duality, ... (many authors)
- Positive answer conjectured by Helton and Nie 2009
- Scheiderer 2018a: question confirmed for $n = 2$.
- Scheiderer 2018b: question refuted (for $n = 14$).

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure, convex duality, ... (many authors)
- Positive answer conjectured by Helton and Nie 2009
- Scheiderer 2018a: question confirmed for $n = 2$.
- Scheiderer 2018b: question refuted (for $n = 14$).
- Scheiderer 2018b: “What are alternative characterizations of spectrahedral shadows that are easier to work with”?

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure, convex duality, ... (many authors)
- Positive answer conjectured by Helton and Nie 2009
- Scheiderer 2018a: question confirmed for $n = 2$.
- Scheiderer 2018b: question refuted (for $n = 14$).
- Scheiderer 2018b: “What are alternative characterizations of spectrahedral shadows that are easier to work with”?
- Concretely, for example: “is the set of all **copositive** $A \in \mathbb{R}^{5 \times 5}$ a spectrahedral shadow?” (Scheiderer 2018b)

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure, convex duality, ... (many authors)
- Positive answer conjectured by Helton and Nie 2009
- Scheiderer 2018a: question confirmed for $n = 2$.
- Scheiderer 2018b: question refuted (for $n = 14$).
- Scheiderer 2018b: “What are alternative characterizations of spectrahedral shadows that are easier to work with”?
- Concretely, for example: “is the set of all **copositive** $A \in \mathbb{R}^{5 \times 5}$ a spectrahedral shadow?” (Scheiderer 2018b)
 A is **copositive** if $x^\top Ax \geq 0$ for every non-negative real vector x .

Spectrahedral Shadows

Question. Is every convex semialgebraic set pp-definable over spectrahedra?

Equivalently. Is every such set a **shadow** (projection) of a spectrahedron?

History:

- Asked by Nemirovski in ICM plenary address, Madrid 2006.
- Set of spectrahedral shadows closed under convex hulls of finite unions, topological closure, convex duality, ... (many authors)
- Positive answer conjectured by Helton and Nie 2009
- Scheiderer 2018a: question confirmed for $n = 2$.
- Scheiderer 2018b: question refuted (for $n = 14$).
- Scheiderer 2018b: “What are alternative characterizations of spectrahedral shadows that are easier to work with”?
- Concretely, for example: “is the set of all **copositive** $A \in \mathbb{R}^{5 \times 5}$ a spectrahedral shadow?” (Scheiderer 2018b)
A is **copositive** if $x^\top Ax \geq 0$ for every non-negative real vector x .

This talk: **model-theoretic** approach to these questions.

Model Theoretic Approach

Model Theoretic Approach

- Use preservation theorems to study (non-) definability

Model Theoretic Approach

- Use preservation theorems to study (non-) definability
- Often obtain stronger results: non-definability by
 - **existential positive** formulas $\exists, \wedge, \vee, =$ or by
 - **positive formulas** $\forall, \exists, \wedge, \vee, =$.

Model Theoretic Approach

- Use preservation theorems to study (non-) definability
- Often obtain stronger results: non-definability by
 - **existential positive** formulas $\exists, \wedge, \vee, =$ or by
 - **positive formulas** $\forall, \exists, \wedge, \vee, =$.
- Tarski principle for real closed field: may equivalently study the question over any real-closed field extension R of \mathbb{R} instead of \mathbb{R} .

Model Theoretic Approach

- Use preservation theorems to study (non-) definability
- Often obtain stronger results: non-definability by
 - **existential positive** formulas $\exists, \wedge, \vee, =$ or by
 - **positive formulas** $\forall, \exists, \wedge, \vee, =$.
- Tarski principle for real closed field: may equivalently study the question over any real-closed field extension R of \mathbb{R} instead of \mathbb{R} .

Definition. $\mathfrak{A}, \mathfrak{B}$: structures with the same domain.

Model Theoretic Approach

- Use preservation theorems to study (non-) definability
- Often obtain stronger results: non-definability by
 - **existential positive** formulas $\exists, \wedge, \vee, =$ or by
 - **positive formulas** $\forall, \exists, \wedge, \vee, =$.
- Tarski principle for real closed field: may equivalently study the question over any real-closed field extension R of \mathbb{R} instead of \mathbb{R} .

Definition. $\mathfrak{A}, \mathfrak{B}$: structures with the same domain.

- \mathfrak{B} is **reduct** of \mathfrak{A} if \mathfrak{B} is obtained from \mathfrak{A} by dropping relations.

Model Theoretic Approach

- Use preservation theorems to study (non-) definability
- Often obtain stronger results: non-definability by
 - **existential positive** formulas $\exists, \wedge, \vee, =$ or by
 - **positive formulas** $\forall, \exists, \wedge, \vee, =$.
- Tarski principle for real closed field: may equivalently study the question over any real-closed field extension R of \mathbb{R} instead of \mathbb{R} .

Definition. $\mathfrak{A}, \mathfrak{B}$: structures with the same domain.

- \mathfrak{B} is **reduct** of \mathfrak{A} if \mathfrak{B} is obtained from \mathfrak{A} by dropping relations.
- \mathfrak{B} is **expansion** of \mathfrak{A} if \mathfrak{A} is reduct of \mathfrak{B} .

Model Theoretic Approach

- Use preservation theorems to study (non-) definability
- Often obtain stronger results: non-definability by
 - **existential positive** formulas $\exists, \wedge, \vee, =$ or by
 - **positive formulas** $\forall, \exists, \wedge, \vee, =$.
- Tarski principle for real closed field: may equivalently study the question over any real-closed field extension R of \mathbb{R} instead of \mathbb{R} .

Definition. $\mathfrak{A}, \mathfrak{B}$: structures with the same domain.

- \mathfrak{B} is **reduct** of \mathfrak{A} if \mathfrak{B} is obtained from \mathfrak{A} by dropping relations.
- \mathfrak{B} is **expansion** of \mathfrak{A} if \mathfrak{A} is reduct of \mathfrak{B} .
- \mathfrak{B} is **first-order expansion** of \mathfrak{A} if \mathfrak{B} is expansion of \mathfrak{A} and all relations of \mathfrak{B} are first-order definable in \mathfrak{A} .

Model Theoretic Approach

- Use preservation theorems to study (non-) definability
- Often obtain stronger results: non-definability by
 - **existential positive** formulas $\exists, \wedge, \vee, =$ or by
 - **positive formulas** $\forall, \exists, \wedge, \vee, =$.
- Tarski principle for real closed field: may equivalently study the question over any real-closed field extension R of \mathbb{R} instead of \mathbb{R} .

Definition. $\mathfrak{A}, \mathfrak{B}$: structures with the same domain.

- \mathfrak{B} is **reduct** of \mathfrak{A} if \mathfrak{B} is obtained from \mathfrak{A} by dropping relations.
- \mathfrak{B} is **expansion** of \mathfrak{A} if \mathfrak{A} is reduct of \mathfrak{B} .
- \mathfrak{B} is **first-order expansion** of \mathfrak{A} if \mathfrak{B} is expansion of \mathfrak{A} and all relations of \mathfrak{B} are first-order definable in \mathfrak{A} .
- \mathfrak{B} is **first-order reduct** of \mathfrak{A} if \mathfrak{B} is reduct of first-order expansion of \mathfrak{A} .

Model Theoretic Approach

- Use preservation theorems to study (non-) definability
- Often obtain stronger results: non-definability by
 - **existential positive** formulas $\exists, \wedge, \vee, =$ or by
 - **positive formulas** $\forall, \exists, \wedge, \vee, =$.
- Tarski principle for real closed field: may equivalently study the question over any real-closed field extension R of \mathbb{R} instead of \mathbb{R} .

Definition. $\mathfrak{A}, \mathfrak{B}$: structures with the same domain.

- \mathfrak{B} is **reduct** of \mathfrak{A} if \mathfrak{B} is obtained from \mathfrak{A} by dropping relations.
- \mathfrak{B} is **expansion** of \mathfrak{A} if \mathfrak{A} is reduct of \mathfrak{B} .
- \mathfrak{B} is **first-order expansion** of \mathfrak{A} if \mathfrak{B} is expansion of \mathfrak{A} and all relations of \mathfrak{B} are first-order definable in \mathfrak{A} .
- \mathfrak{B} is **first-order reduct** of \mathfrak{A} if \mathfrak{B} is reduct of first-order expansion of \mathfrak{A} .

Example. $(\mathbb{R}; \{(x, y) \mid y \geq x^2\})$ is a first-order reduct of $(\mathbb{R}; +, \cdot, 1)$.

Preservation Theorems

Preservation Theorems

Classic versions

- A first-order formula ϕ is equivalent to an existential positive formula in \mathfrak{B}
 $\exists, \wedge, \vee, =$

Preservation Theorems

Classic versions

- A first-order formula ϕ is equivalent to an existential positive formula in \mathfrak{B}
 $\exists, \wedge, \vee, =$

if and only if (Lyndon-Łoś-Tarski)

ϕ is preserved by **homomorphisms** between models of $\text{Th}(\mathfrak{B})$

Preservation Theorems

Classic versions

- A first-order formula ϕ is equivalent to an existential positive formula in \mathfrak{B}
 $\exists, \wedge, \vee, =$
if and only if (Lyndon-Łoś-Tarski)
 ϕ is preserved by **homomorphisms** between models of $\text{Th}(\mathfrak{B})$
- A first-order formula ϕ is equivalent to a positive formula in \mathfrak{B}
 $\forall, \exists, \wedge, \vee, =$
if and only if (Lyndon)

Preservation Theorems

Classic versions

- A first-order formula ϕ is equivalent to an existential positive formula in \mathfrak{B}
 $\exists, \wedge, \vee, =$
if and only if (Lyndon-Łoś-Tarski)
 ϕ is preserved by **homomorphisms** between models of $\text{Th}(\mathfrak{B})$
- A first-order formula ϕ is equivalent to a positive formula in \mathfrak{B}
 $\forall, \exists, \wedge, \vee, =$
if and only if (Lyndon)
 ϕ is preserved by **surjective homomorphisms** between models of $\text{Th}(\mathfrak{B})$

Preservation Theorems

Classic versions

- A first-order formula ϕ is equivalent to an existential positive formula in \mathfrak{B}
 $\exists, \wedge, \vee, =$
if and only if (Lyndon-Łoś-Tarski)
 ϕ is preserved by **homomorphisms** between models of $\text{Th}(\mathfrak{B})$
- A first-order formula ϕ is equivalent to a positive formula in \mathfrak{B}
 $\forall, \exists, \wedge, \vee, =$
if and only if (Lyndon)
 ϕ is preserved by **surjective homomorphisms** between models of $\text{Th}(\mathfrak{B})$

Problem: what are the models of $\text{Th}(\mathfrak{B})$ if $\mathfrak{B} = (\mathbb{R}; \text{all spectrahedra})$?

Preservation Theorems

Classic versions

- A first-order formula ϕ is equivalent to an existential positive formula in \mathfrak{B}
 $\exists, \wedge, \vee, =$
if and only if (Lyndon-Łoś-Tarski)
 ϕ is preserved by **homomorphisms** between models of $\text{Th}(\mathfrak{B})$
- A first-order formula ϕ is equivalent to a positive formula in \mathfrak{B}
 $\forall, \exists, \wedge, \vee, =$
if and only if (Lyndon)
 ϕ is preserved by **surjective homomorphisms** between models of $\text{Th}(\mathfrak{B})$

Problem: what are the models of $\text{Th}(\mathfrak{B})$ if $\mathfrak{B} = (\mathbb{R}; \text{all spectrahedra})$?

Idea:

- We **do** know the models of $\text{Th}(\mathbb{R}; +, \cdot, 1)$: real-closed fields.

Preservation Theorems

Classic versions

- A first-order formula ϕ is equivalent to an existential positive formula in \mathfrak{B}
 $\exists, \wedge, \vee, =$
if and only if (Lyndon-Łoś-Tarski)
 ϕ is preserved by **homomorphisms** between models of $\text{Th}(\mathfrak{B})$
- A first-order formula ϕ is equivalent to a positive formula in \mathfrak{B}
 $\forall, \exists, \wedge, \vee, =$
if and only if (Lyndon)
 ϕ is preserved by **surjective homomorphisms** between models of $\text{Th}(\mathfrak{B})$

Problem: what are the models of $\text{Th}(\mathfrak{B})$ if $\mathfrak{B} = (\mathbb{R}; \text{all spectrahedra})$?

Idea:

- We **do** know the models of $\text{Th}(\mathbb{R}; +, \cdot, 1)$: real-closed fields.
- Instead of homomorphisms between models of $\text{Th}(\mathfrak{B})$ we work within an appropriate real-closed field.

Preservation Theorems

Classic versions

- A first-order formula ϕ is equivalent to an existential positive formula in \mathfrak{B}
 $\exists, \wedge, \vee, =$
if and only if (Lyndon-Łoś-Tarski)
 ϕ is preserved by **homomorphisms** between models of $\text{Th}(\mathfrak{B})$
- A first-order formula ϕ is equivalent to a positive formula in \mathfrak{B}
 $\forall, \exists, \wedge, \vee, =$
if and only if (Lyndon)
 ϕ is preserved by **surjective homomorphisms** between models of $\text{Th}(\mathfrak{B})$

Problem: what are the models of $\text{Th}(\mathfrak{B})$ if $\mathfrak{B} = (\mathbb{R}; \text{all spectrahedra})$?

Idea:

- We **do** know the models of $\text{Th}(\mathbb{R}; +, \cdot, 1)$: real-closed fields.
- Instead of homomorphisms between models of $\text{Th}(\mathfrak{B})$ we work within an appropriate real-closed field.
- ‘Tarski (transfer) principle’

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an elementary extension of \mathfrak{A} ,

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Theorem. \mathfrak{A} has an elementary extension \mathfrak{A}^* such that the following holds.

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Theorem. \mathfrak{A} has an elementary extension \mathfrak{A}^* such that the following holds.
If \mathfrak{B} is a first-order reduct of \mathfrak{A} and $S \subseteq A^k$ is first-order definable in \mathfrak{A} , then

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Theorem. \mathfrak{A} has an elementary extension \mathfrak{A}^* such that the following holds.
If \mathfrak{B} is a first-order reduct of \mathfrak{A} and $S \subseteq A^k$ is first-order definable in \mathfrak{A} , then

- S has an existential positive definition in \mathfrak{B} **if and only if**

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Theorem. \mathfrak{A} has an elementary extension \mathfrak{A}^* such that the following holds.
If \mathfrak{B} is a first-order reduct of \mathfrak{A} and $S \subseteq A^k$ is first-order definable in \mathfrak{A} , then

- S has an existential positive definition in \mathfrak{B} **if and only if**
 S^* is preserved by all endomorphisms of \mathfrak{B}^* .

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Theorem. \mathfrak{A} has an elementary extension \mathfrak{A}^* such that the following holds.
If \mathfrak{B} is a first-order reduct of \mathfrak{A} and $S \subseteq A^k$ is first-order definable in \mathfrak{A} , then

- S has an existential positive definition in \mathfrak{B} **if and only if**
 S^* is preserved by all endomorphisms of \mathfrak{B}^* .
- K has a positive definition in \mathfrak{B} **if and only if**

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula
 $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Theorem. \mathfrak{A} has an elementary extension \mathfrak{A}^* such that the following holds.
If \mathfrak{B} is a first-order reduct of \mathfrak{A} and $S \subseteq A^k$ is first-order definable in \mathfrak{A} , then

- S has an existential positive definition in \mathfrak{B} **if and only if**
 S^* is preserved by all endomorphisms of \mathfrak{B}^* .
- K has a positive definition in \mathfrak{B} **if and only if**
 S^* is preserved by all surjective endomorphisms of \mathfrak{B}^* .

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Theorem. \mathfrak{A} has an elementary extension \mathfrak{A}^* such that the following holds.
If \mathfrak{B} is a first-order reduct of \mathfrak{A} and $S \subseteq A^k$ is first-order definable in \mathfrak{A} , then

- S has an existential positive definition in \mathfrak{B} **if and only if**
 S^* is preserved by all endomorphisms of \mathfrak{B}^* .
- K has a positive definition in \mathfrak{B} **if and only if**
 S^* is preserved by all surjective endomorphisms of \mathfrak{B}^* .
- S has a first-order definition in \mathfrak{B} **if and only if**

Preservation Theorems, refined version

\mathfrak{A} : a structure. Let \mathfrak{A}^* be an **elementary extension** of \mathfrak{A} ,
i.e., \mathfrak{A} is a substructure of \mathfrak{A}^* such that for every first-order formula $\phi(x_1, \dots, x_k)$ and $a \in A^k$ we have $\mathfrak{A} \models \phi(a)$ if and only if $\mathfrak{A}^* \models \phi(a)$.

- If S is first-order definable in \mathfrak{A} , then S^* denotes the set defined by the same formula over \mathfrak{A}^* .
- If \mathfrak{B} is a reduct of \mathfrak{A} , then \mathfrak{B}^* denotes the first-order reduct defined by the same first-order formulas over \mathfrak{A}^* .

Theorem. \mathfrak{A} has an elementary extension \mathfrak{A}^* such that the following holds.
If \mathfrak{B} is a first-order reduct of \mathfrak{A} and $S \subseteq A^k$ is first-order definable in \mathfrak{A} , then

- S has an existential positive definition in \mathfrak{B} **if and only if** S^* is preserved by all endomorphisms of \mathfrak{B}^* .
- K has a positive definition in \mathfrak{B} **if and only if** S^* is preserved by all surjective endomorphisms of \mathfrak{B}^* .
- S has a first-order definition in \mathfrak{B} **if and only if** S^* is preserved by all automorphisms of \mathfrak{B}^* .

Completely Positive Maps

Completely Positive Maps

R : some elementary extension of $(\mathbb{R}; +, \cdot)$.

Completely Positive Maps

R : some elementary extension of $(\mathbb{R}; +, \cdot)$.

Definition. $f: R \rightarrow R$

- **unital** if it preserves the formula $x = 1$,

Completely Positive Maps

R : some elementary extension of $(\mathbb{R}; +, \cdot)$.

Definition. $f: R \rightarrow R$

- **unital** if it preserves the formula $x = 1$,
- **\mathbb{R} -linear** if it preserves the formula $z = \alpha x + \beta y$ for all $\alpha, \beta \in \mathbb{R}$.

Completely Positive Maps

R : some elementary extension of $(\mathbb{R}; +, \cdot)$.

Definition. $f: R \rightarrow R$

- **unital** if it preserves the formula $x = 1$,
- **\mathbb{R} -linear** if it preserves the formula $z = \alpha x + \beta y$ for all $\alpha, \beta \in \mathbb{R}$.
- **completely positive** if for every $k \in \mathbb{N}$ and symmetric $A \in R^{k \times k}$, if $A \succeq 0$ then $f(A) \succeq 0$.

Completely Positive Maps

R : some elementary extension of $(\mathbb{R}; +, \cdot)$.

Definition. $f: R \rightarrow R$

- **unital** if it preserves the formula $x = 1$,
- **\mathbb{R} -linear** if it preserves the formula $z = \alpha x + \beta y$ for all $\alpha, \beta \in \mathbb{R}$.
- **completely positive** if for every $k \in \mathbb{N}$ and symmetric $A \in R^{k \times k}$, if $A \succeq 0$ then $f(A) \succeq 0$.

$\mathfrak{B} := (\mathbb{R}; \text{all spectrahedra})$ (a first-order reduct of $(\mathbb{R}; +, \cdot)$).

Completely Positive Maps

R : some elementary extension of $(\mathbb{R}; +, \cdot)$.

Definition. $f: R \rightarrow R$

- **unital** if it preserves the formula $x = 1$,
- **\mathbb{R} -linear** if it preserves the formula $z = \alpha x + \beta y$ for all $\alpha, \beta \in \mathbb{R}$.
- **completely positive** if for every $k \in \mathbb{N}$ and symmetric $A \in R^{k \times k}$, if $A \succeq 0$ then $f(A) \succeq 0$.

$\mathfrak{B} := (\mathbb{R}; \text{all spectrahedra})$ (a first-order reduct of $(\mathbb{R}; +, \cdot)$).

Lemma. $f: R \rightarrow R$ is an endomorphism of \mathfrak{B}^* **if and only if**

Completely Positive Maps

R : some elementary extension of $(\mathbb{R}; +, \cdot)$.

Definition. $f: R \rightarrow R$

- **unital** if it preserves the formula $x = 1$,
- **\mathbb{R} -linear** if it preserves the formula $z = \alpha x + \beta y$ for all $\alpha, \beta \in \mathbb{R}$.
- **completely positive** if for every $k \in \mathbb{N}$ and symmetric $A \in R^{k \times k}$, if $A \succeq 0$ then $f(A) \succeq 0$.

$\mathfrak{B} := (\mathbb{R}; \text{all spectrahedra})$ (a first-order reduct of $(\mathbb{R}; +, \cdot)$).

Lemma. $f: R \rightarrow R$ is an endomorphism of \mathfrak{B}^* **if and only if** f is unital, \mathbb{R} -linear, and completely positive.

The Real Closed Field of Hahn Series

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

$\Lambda = \mathbb{Q}^n$ with componentwise addition and the lexicographic order.

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

$\Lambda = \mathbb{Q}^n$ with componentwise addition and the lexicographic order.

$H = R[[\epsilon^\Lambda]]$: Hahn series over R with value group Λ .

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

$\Lambda = \mathbb{Q}^n$ with componentwise addition and the lexicographic order.

$H = R[[\epsilon^\Lambda]]$: Hahn series over R with value group Λ .

Valuation $v: H \rightarrow \Lambda \cup \{\infty\}$ given by

$$v\left(\sum_{e \in \Lambda} c_e \epsilon^e\right) := \min\{e \in \Lambda \mid c_e \neq 0\}.$$

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

$\Lambda = \mathbb{Q}^n$ with componentwise addition and the lexicographic order.

$H = R[[\epsilon^\Lambda]]$: Hahn series over R with value group Λ .

Valuation $v: H \rightarrow \Lambda \cup \{\infty\}$ given by

$$v\left(\sum_{e \in \Lambda} c_e \epsilon^e\right) := \min\{e \in \Lambda \mid c_e \neq 0\}.$$

H is real closed.

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

$\Lambda = \mathbb{Q}^n$ with componentwise addition and the lexicographic order.

$H = R[[\epsilon^\Lambda]]$: Hahn series over R with value group Λ .

Valuation $v: H \rightarrow \Lambda \cup \{\infty\}$ given by

$$v\left(\sum_{e \in \Lambda} c_e \epsilon^e\right) := \min\{e \in \Lambda \mid c_e \neq 0\}.$$

H is real closed.

$R[\Lambda]$: subring of H of series with finite support.

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

$\Lambda = \mathbb{Q}^n$ with componentwise addition and the lexicographic order.

$H = R[[\epsilon^\Lambda]]$: Hahn series over R with value group Λ .

Valuation $v: H \rightarrow \Lambda \cup \{\infty\}$ given by

$$v\left(\sum_{e \in \Lambda} c_e \epsilon^e\right) := \min\{e \in \Lambda \mid c_e \neq 0\}.$$

H is real closed.

$R[\Lambda]$: subring of H of series with finite support.

Fix $f: \Lambda \rightarrow R$.

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

$\Lambda = \mathbb{Q}^n$ with componentwise addition and the lexicographic order.

$H = R[[\epsilon^\Lambda]]$: Hahn series over R with value group Λ .

Valuation $v: H \rightarrow \Lambda \cup \{\infty\}$ given by

$$v\left(\sum_{e \in \Lambda} c_e \epsilon^e\right) := \min\{e \in \Lambda \mid c_e \neq 0\}.$$

H is real closed.

$R[\Lambda]$: subring of H of series with finite support.

Fix $f: \Lambda \rightarrow R$.

$$L_f: H \rightarrow H, \quad \sum_{e \in \Lambda} c_e \epsilon^e \mapsto \sum_{e \in \Lambda} f(e) c_e \epsilon^e$$

The Real Closed Field of Hahn Series

Λ : divisible ordered abelian group.

Examples: $\Lambda = \mathbb{R}$,

$\Lambda = \mathbb{Q}^n$ with componentwise addition and the lexicographic order.

$H = R[[\epsilon^\Lambda]]$: Hahn series over R with value group Λ .

Valuation $v: H \rightarrow \Lambda \cup \{\infty\}$ given by

$$v\left(\sum_{e \in \Lambda} c_e \epsilon^e\right) := \min\{e \in \Lambda \mid c_e \neq 0\}.$$

H is real closed.

$R[\Lambda]$: subring of H of series with finite support.

Fix $f: \Lambda \rightarrow R$.

$$L_f: H \rightarrow H, \quad \sum_{e \in \Lambda} c_e \epsilon^e \mapsto \sum_{e \in \Lambda} f(e) c_e \epsilon^e$$

Want to choose f so that L_f is unital, (\mathbb{R} -linear,) and completely positive.

Bijectivity

$$f: \Lambda \rightarrow R.$$

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive.

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive. Then L_f is bijective.

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive. Then L_f is bijective.

Proof. Let $a \in \Lambda$.

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive. Then L_f is bijective.

Proof. Let $a \in \Lambda$.

$$A := \begin{pmatrix} \epsilon^a & 1 \\ 1 & \epsilon^{-a} \end{pmatrix} \succeq 0$$

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive. Then L_f is bijective.

Proof. Let $a \in \Lambda$.

$$A := \begin{pmatrix} \epsilon^a & 1 \\ 1 & \epsilon^{-a} \end{pmatrix} \succeq 0$$

L_f unital and completely positive:

$$L_f(A) = \begin{pmatrix} f(a)\epsilon^a & 1 \\ 1 & f(-a)\epsilon^{-a} \end{pmatrix} \succeq 0$$

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive. Then L_f is bijective.

Proof. Let $a \in \Lambda$.

$$A := \begin{pmatrix} \epsilon^a & 1 \\ 1 & \epsilon^{-a} \end{pmatrix} \succeq 0$$

L_f unital and completely positive:

$$L_f(A) = \begin{pmatrix} f(a)\epsilon^a & 1 \\ 1 & f(-a)\epsilon^{-a} \end{pmatrix} \succeq 0$$

Hence, $f(a)f(-a) \geq 1$,

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive. Then L_f is bijective.

Proof. Let $a \in \Lambda$.

$$A := \begin{pmatrix} \epsilon^a & 1 \\ 1 & \epsilon^{-a} \end{pmatrix} \succeq 0$$

L_f unital and completely positive:

$$L_f(A) = \begin{pmatrix} f(a)\epsilon^a & 1 \\ 1 & f(-a)\epsilon^{-a} \end{pmatrix} \succeq 0$$

Hence, $f(a)f(-a) \geq 1$, so $f(a) \neq 0$.

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive. Then L_f is bijective.

Proof. Let $a \in \Lambda$.

$$A := \begin{pmatrix} \epsilon^a & 1 \\ 1 & \epsilon^{-a} \end{pmatrix} \succeq 0$$

L_f unital and completely positive:

$$L_f(A) = \begin{pmatrix} f(a)\epsilon^a & 1 \\ 1 & f(-a)\epsilon^{-a} \end{pmatrix} \succeq 0$$

Hence, $f(a)f(-a) \geq 1$, so $f(a) \neq 0$.

Define

$$g: \Lambda \rightarrow R, \quad a \mapsto \frac{1}{f(a)}$$

Bijectivity

$f: \Lambda \rightarrow R$.

Lemma. Suppose $L_f: H \rightarrow H$ is unital and completely positive. Then L_f is bijective.

Proof. Let $a \in \Lambda$.

$$A := \begin{pmatrix} \epsilon^a & 1 \\ 1 & \epsilon^{-a} \end{pmatrix} \succeq 0$$

L_f unital and completely positive:

$$L_f(A) = \begin{pmatrix} f(a)\epsilon^a & 1 \\ 1 & f(-a)\epsilon^{-a} \end{pmatrix} \succeq 0$$

Hence, $f(a)f(-a) \geq 1$, so $f(a) \neq 0$.

Define

$$g: \Lambda \rightarrow R, \quad a \mapsto \frac{1}{f(a)}$$

$$L_f \circ L_g = L_g \circ L_f = \text{id}_H.$$

□

Positive Definite Maps

How to choose f so that L_f is interesting?

Positive Definite Maps

How to choose f so that L_f is interesting?

Definition. $f: \Lambda \rightarrow R$ is **positive definite** if for distinct $a_1, \dots, a_k \in \Lambda$ the matrix $(f(a_i + a_j))_{i,j}$ is positive definite.

Positive Definite Maps

How to choose f so that L_f is interesting?

Definition. $f: \Lambda \rightarrow R$ is **positive definite** if for distinct $a_1, \dots, a_k \in \Lambda$ the matrix $(f(a_i + a_j))_{i,j}$ is positive definite.

Lemma. If f is positive definite, then L_f is completely positive.

Positive Definite Maps

How to choose f so that L_f is interesting?

Definition. $f: \Lambda \rightarrow R$ is **positive definite** if for distinct $a_1, \dots, a_k \in \Lambda$ the matrix $(f(a_i + a_j))_{i,j}$ is positive definite.

Lemma. If f is positive definite, then L_f is completely positive.

$f: \Lambda \rightarrow \mathbb{R}$ can be extended linearly to R -linear map $T_f: R[\Lambda] \rightarrow R$.

Positive Definite Maps

How to choose f so that L_f is interesting?

Definition. $f: \Lambda \rightarrow R$ is **positive definite** if for distinct $a_1, \dots, a_k \in \Lambda$ the matrix $(f(a_i + a_j))_{i,j}$ is positive definite.

Lemma. If f is positive definite, then L_f is completely positive.

$f: \Lambda \rightarrow \mathbb{R}$ can be extended linearly to R -linear map $T_f: R[\Lambda] \rightarrow R$.

Every $b \in R[\Lambda]$ can be written as $b = \sum_{i=1}^k c_i \epsilon^{a_i}$
for $k \in \mathbb{N}$, $(c_1, \dots, c_k) \in R^k$, and $(a_1, \dots, a_k) \in \Lambda^k$.

Positive Definite Maps

How to choose f so that L_f is interesting?

Definition. $f: \Lambda \rightarrow R$ is **positive definite** if for distinct $a_1, \dots, a_k \in \Lambda$ the matrix $(f(a_i + a_j))_{i,j}$ is positive definite.

Lemma. If f is positive definite, then L_f is completely positive.

$f: \Lambda \rightarrow \mathbb{R}$ can be extended linearly to R -linear map $T_f: R[\Lambda] \rightarrow R$.

Every $b \in R[\Lambda]$ can be written as $b = \sum_{i=1}^k c_i e^{a_i}$
for $k \in \mathbb{N}$, $(c_1, \dots, c_k) \in R^k$, and $(a_1, \dots, a_k) \in \Lambda^k$.

$$T_f(b^2) = \sum_{i,j} c_i c_j f(a_i + a_j) = (c_1, \dots, c_k) \cdot (f(a_i + a_j))_{1 \leq i, j \leq k} \cdot \begin{pmatrix} c_1 \\ \vdots \\ c_k \end{pmatrix}$$

Positive Definite Maps

How to choose f so that L_f is interesting?

Definition. $f: \Lambda \rightarrow R$ is **positive definite** if for distinct $a_1, \dots, a_k \in \Lambda$ the matrix $(f(a_i + a_j))_{i,j}$ is positive definite.

Lemma. If f is positive definite, then L_f is completely positive.

$f: \Lambda \rightarrow \mathbb{R}$ can be extended linearly to R -linear map $T_f: R[\Lambda] \rightarrow R$.
Every $b \in R[\Lambda]$ can be written as $b = \sum_{i=1}^k c_i e^{a_i}$
for $k \in \mathbb{N}$, $(c_1, \dots, c_k) \in R^k$, and $(a_1, \dots, a_k) \in \Lambda^k$.

$$T_f(b^2) = \sum_{i,j} c_i c_j f(a_i + a_j) = (c_1, \dots, c_k) \cdot (f(a_i + a_j))_{1 \leq i, j \leq k} \cdot \begin{pmatrix} c_1 \\ \vdots \\ c_k \end{pmatrix}$$

So: f positive definite **iff** $T_f(b^2) > 0$ for all $b \in R[\Lambda] \setminus \{0\}$.

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Define $\Psi : \mathbb{R}[\Lambda] \rightarrow \mathcal{H}(\mathbb{C})$ by setting for $a \in \mathbb{R}$

$$\Psi(e^a) := (z \mapsto \exp(az))$$

and extending linearly to all of $\mathbb{R}[\Lambda]$.

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Define $\Psi : \mathbb{R}[\Lambda] \rightarrow \mathcal{H}(\mathbb{C})$ by setting for $a \in \mathbb{R}$

$$\Psi(e^a) := (z \mapsto \exp(az))$$

and extending linearly to all of $\mathbb{R}[\Lambda]$.

Note. If $\Psi(g)$ vanishes on $[a, b]$ for $a < b$, then $g = 0$.

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Define $\Psi : \mathbb{R}[\Lambda] \rightarrow \mathcal{H}(\mathbb{C})$ by setting for $a \in \mathbb{R}$

$$\Psi(e^a) := (z \mapsto \exp(az))$$

and extending linearly to all of $\mathbb{R}[\Lambda]$.

Note. If $\Psi(g)$ vanishes on $[a, b]$ for $a < b$, then $g = 0$.

μ : measure on \mathbb{R} obtained as pushforward of Lebesgue measure on $[a, b]$.

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Define $\Psi : \mathbb{R}[\Lambda] \rightarrow \mathcal{H}(\mathbb{C})$ by setting for $a \in \mathbb{R}$

$$\Psi(e^a) := (z \mapsto \exp(az))$$

and extending linearly to all of $\mathbb{R}[\Lambda]$.

Note. If $\Psi(g)$ vanishes on $[a, b]$ for $a < b$, then $g = 0$.

μ : measure on \mathbb{R} obtained as pushforward of Lebesgue measure on $[a, b]$.

$$T : \mathbb{R}[\Lambda] \rightarrow \mathbb{R}, g \mapsto \int \Psi(g)(x) d\mu(x)$$

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Define $\Psi : \mathbb{R}[\Lambda] \rightarrow \mathcal{H}(\mathbb{C})$ by setting for $a \in \mathbb{R}$

$$\Psi(e^a) := (z \mapsto \exp(az))$$

and extending linearly to all of $\mathbb{R}[\Lambda]$.

Note. If $\Psi(g)$ vanishes on $[a, b]$ for $a < b$, then $g = 0$.

μ : measure on \mathbb{R} obtained as pushforward of Lebesgue measure on $[a, b]$.

$$T : \mathbb{R}[\Lambda] \rightarrow \mathbb{R}, g \mapsto \int \Psi(g)(x) d\mu(x)$$

satisfies $T(g^2) \geq 0$,

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Define $\Psi : \mathbb{R}[\Lambda] \rightarrow \mathcal{H}(\mathbb{C})$ by setting for $a \in \mathbb{R}$

$$\Psi(e^a) := (z \mapsto \exp(az))$$

and extending linearly to all of $\mathbb{R}[\Lambda]$.

Note. If $\Psi(g)$ vanishes on $[a, b]$ for $a < b$, then $g = 0$.

μ : measure on \mathbb{R} obtained as pushforward of Lebesgue measure on $[a, b]$.

$$T : \mathbb{R}[\Lambda] \rightarrow \mathbb{R}, g \mapsto \int \Psi(g)(x) d\mu(x)$$

satisfies $T(g^2) \geq 0$, and $T(g^2) > 0$ for all $g \in \mathbb{R}[\Lambda] \setminus \{0\}$.

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Define $\Psi : \mathbb{R}[\Lambda] \rightarrow \mathcal{H}(\mathbb{C})$ by setting for $a \in \mathbb{R}$

$$\Psi(\epsilon^a) := (z \mapsto \exp(az))$$

and extending linearly to all of $\mathbb{R}[\Lambda]$.

Note. If $\Psi(g)$ vanishes on $[a, b]$ for $a < b$, then $g = 0$.

μ : measure on \mathbb{R} obtained as pushforward of Lebesgue measure on $[a, b]$.

$$T : \mathbb{R}[\Lambda] \rightarrow \mathbb{R}, g \mapsto \int \Psi(g)(x) d\mu(x)$$

satisfies $T(g^2) \geq 0$, and $T(g^2) > 0$ for all $g \in \mathbb{R}[\Lambda] \setminus \{0\}$.

Define $f : \mathbb{R} \rightarrow \mathbb{R}$ by $f(a) = T(\epsilon^a)$ for $a \in \mathbb{R}$.

Example of Positive Definite Map

Example for $\Lambda = \mathbb{R}$.

$\mathcal{H}(\mathbb{C})$: ring of holomorphic functions on \mathbb{C} .

Define $\Psi : \mathbb{R}[\Lambda] \rightarrow \mathcal{H}(\mathbb{C})$ by setting for $a \in \mathbb{R}$

$$\Psi(\epsilon^a) := (z \mapsto \exp(az))$$

and extending linearly to all of $\mathbb{R}[\Lambda]$.

Note. If $\Psi(g)$ vanishes on $[a, b]$ for $a < b$, then $g = 0$.

μ : measure on \mathbb{R} obtained as pushforward of Lebesgue measure on $[a, b]$.

$$T : \mathbb{R}[\Lambda] \rightarrow \mathbb{R}, g \mapsto \int \Psi(g)(x) d\mu(x)$$

satisfies $T(g^2) \geq 0$, and $T(g^2) > 0$ for all $g \in \mathbb{R}[\Lambda] \setminus \{0\}$.

Define $f : \mathbb{R} \rightarrow \mathbb{R}$ by $f(a) = T(\epsilon^a)$ for $a \in \mathbb{R}$.

Then $T = T_f$ and f is positive definite. □

SOS

$p \in \mathbb{R}[x_1, \dots, x_n]$ is SOS

if it can be written as sum of squares of polynomials from $\mathbb{R}[x_1, \dots, x_n]$.

SOS

$p \in \mathbb{R}[x_1, \dots, x_n]$ is **SOS**

if it can be written as sum of squares of polynomials from $\mathbb{R}[x_1, \dots, x_n]$.

If p is SOS, then $p(x) \geq 0$ for all $x \in \mathbb{R}^n$.

SOS

$p \in \mathbb{R}[x_1, \dots, x_n]$ is **SOS**

if it can be written as sum of squares of polynomials from $\mathbb{R}[x_1, \dots, x_n]$.

If p is SOS, then $p(x) \geq 0$ for all $x \in \mathbb{R}^n$.

Hilbert 1888: converse need not be true.

SOS

$p \in \mathbb{R}[x_1, \dots, x_n]$ is **SOS**

if it can be written as sum of squares of polynomials from $\mathbb{R}[x_1, \dots, x_n]$.

If p is SOS, then $p(x) \geq 0$ for all $x \in \mathbb{R}^n$.

Hilbert 1888: converse need not be true.

If $f \in \mathbb{R}[\mathbb{Q}^n]$ is SOS in $\mathbb{R}[\mathbb{Q}^n]$, it need not be nonnegative on all of \mathbb{R}^n :

SOS

$p \in \mathbb{R}[x_1, \dots, x_n]$ is **SOS**

if it can be written as sum of squares of polynomials from $\mathbb{R}[x_1, \dots, x_n]$.

If p is SOS, then $p(x) \geq 0$ for all $x \in \mathbb{R}^n$.

Hilbert 1888: converse need not be true.

If $f \in \mathbb{R}[\mathbb{Q}^n]$ is SOS in $\mathbb{R}[\mathbb{Q}^n]$, it need not be nonnegative on all of \mathbb{R}^n :
 $\epsilon \in \mathbb{R}[\mathbb{Q}]$ takes negative values on \mathbb{R} , but equals $(\epsilon^{\frac{1}{2}})^2$.

SOS

$p \in \mathbb{R}[x_1, \dots, x_n]$ is **SOS**

if it can be written as sum of squares of polynomials from $\mathbb{R}[x_1, \dots, x_n]$.

If p is SOS, then $p(x) \geq 0$ for all $x \in \mathbb{R}^n$.

Hilbert 1888: converse need not be true.

If $f \in \mathbb{R}[\mathbb{Q}^n]$ is SOS in $\mathbb{R}[\mathbb{Q}^n]$, it need not be nonnegative on all of \mathbb{R}^n :
 $\epsilon \in \mathbb{R}[\mathbb{Q}]$ takes negative values on R , but equals $(\epsilon^{\frac{1}{2}})^2$.

Observation. $p \in \mathbb{R}[x_1, \dots, x_n]$ is SOS in $\mathbb{R}[\mathbb{Q}^n]$ **if and only if**

SOS

$p \in \mathbb{R}[x_1, \dots, x_n]$ is **SOS**

if it can be written as sum of squares of polynomials from $\mathbb{R}[x_1, \dots, x_n]$.

If p is SOS, then $p(x) \geq 0$ for all $x \in \mathbb{R}^n$.

Hilbert 1888: converse need not be true.

If $f \in \mathbb{R}[\mathbb{Q}^n]$ is SOS in $\mathbb{R}[\mathbb{Q}^n]$, it need not be nonnegative on all of \mathbb{R}^n :

$\epsilon \in \mathbb{R}[\mathbb{Q}]$ takes negative values on \mathbb{R} , but equals $(\epsilon^{\frac{1}{2}})^2$.

Observation. $p \in \mathbb{R}[x_1, \dots, x_n]$ is SOS in $\mathbb{R}[\mathbb{Q}^n]$ **if and only if**
 $p(x_1^m, \dots, x_n^m)$ is SOS in $\mathbb{R}[x_1, \dots, x_n]$ for some $m \geq 1$.

$p \in \mathbb{R}[x_1, \dots, x_n]$ is **SOS**

if it can be written as sum of squares of polynomials from $\mathbb{R}[x_1, \dots, x_n]$.

If p is SOS, then $p(x) \geq 0$ for all $x \in \mathbb{R}^n$.

Hilbert 1888: converse need not be true.

If $f \in \mathbb{R}[\mathbb{Q}^n]$ is SOS in $\mathbb{R}[\mathbb{Q}^n]$, it need not be nonnegative on all of \mathbb{R}^n :
 $\epsilon \in \mathbb{R}[\mathbb{Q}]$ takes negative values on \mathbb{R} , but equals $(\epsilon^{\frac{1}{2}})^2$.

Observation. $p \in \mathbb{R}[x_1, \dots, x_n]$ is SOS in $\mathbb{R}[\mathbb{Q}^n]$ **if and only if**
 $p(x_1^m, \dots, x_n^m)$ is SOS in $\mathbb{R}[x_1, \dots, x_n]$ for some $m \geq 1$.

Question. Is there $p \in \mathbb{R}[x_1, \dots, x_n]$ such that

- $p(\bar{x}) \geq 0$ for all $\bar{x} \in \mathbb{R}^n$,
- for all $m \geq 1$, the polynomial $p(x_1^m, \dots, x_n^m)$ is **not** SOS in $\mathbb{R}[\bar{x}]$?

The Motzkin Polynomial

The Motzkin Polynomial

$$m(x_1, x_2, x_3) := x_3^6 - 3x_1^2 x_2^2 x_3^2 + x_1^4 x_2^2 + x_1^4 x_2^2 \in \mathbb{R}[x_1, x_2, x_3]$$

The Motzkin Polynomial

$$m(x_1, x_2, x_3) := x_3^6 - 3x_1^2 x_2^2 x_3^2 + x_1^4 x_2^2 + x_1^4 x_2^2 \in \mathbb{R}[x_1, x_2, x_3]$$

has support $\{(0, 0, 6), (2, 2, 2), (2, 4, 0), (4, 2, 0)\}$.

The Motzkin Polynomial

$$m(x_1, x_2, x_3) := x_3^6 - 3x_1^2 x_2^2 x_3^2 + x_1^4 x_2^2 + x_1^4 x_2^2 \in \mathbb{R}[x_1, x_2, x_3]$$

has support $\{(0, 0, 6), (2, 2, 2), (2, 4, 0), (4, 2, 0)\}$.

Facts.

- $m(x_1, x_2, x_3) \geq 0$ for all $x_1, x_2, x_3 \in \mathbb{R}$.

The Motzkin Polynomial

$$m(x_1, x_2, x_3) := x_3^6 - 3x_1^2 x_2^2 x_3^2 + x_1^4 x_2^2 + x_1^4 x_3^2 \in \mathbb{R}[x_1, x_2, x_3]$$

has support $\{(0, 0, 6), (2, 2, 2), (2, 4, 0), (4, 2, 0)\}$.

Facts.

- $m(x_1, x_2, x_3) \geq 0$ for all $x_1, x_2, x_3 \in \mathbb{R}$.

Proof: use inequality of arithmetic and geometric means.

For $0 \leq \lambda_1, \dots, \lambda_n \leq 1$ such that $\lambda_1 + \dots + \lambda_n = 1$ have

$$\lambda_1 t_1 + \dots + \lambda_n t_n \geq t_1^{\lambda_1} \cdots t_n^{\lambda_n}$$

The Motzkin Polynomial

$$m(x_1, x_2, x_3) := x_3^6 - 3x_1^2 x_2^2 x_3^2 + x_1^4 x_2^2 + x_1^4 x_3^2 \in \mathbb{R}[x_1, x_2, x_3]$$

has support $\{(0, 0, 6), (2, 2, 2), (2, 4, 0), (4, 2, 0)\}$.

Facts.

- $m(x_1, x_2, x_3) \geq 0$ for all $x_1, x_2, x_3 \in \mathbb{R}$.

Proof: use inequality of arithmetic and geometric means.

For $0 \leq \lambda_1, \dots, \lambda_n \leq 1$ such that $\lambda_1 + \dots + \lambda_n = 1$ have

$$\lambda_1 t_1 + \dots + \lambda_n t_n \geq t_1^{\lambda_1} \cdots t_n^{\lambda_n}$$

- m is **not** SOS (Motzkin, Reznik).

The Motzkin Polynomial

$$m(x_1, x_2, x_3) := x_3^6 - 3x_1^2 x_2^2 x_3^2 + x_1^4 x_2^2 + x_1^4 x_3^2 \in \mathbb{R}[x_1, x_2, x_3]$$

has support $\{(0, 0, 6), (2, 2, 2), (2, 4, 0), (4, 2, 0)\}$.

Facts.

- $m(x_1, x_2, x_3) \geq 0$ for all $x_1, x_2, x_3 \in \mathbb{R}$.

Proof: use inequality of arithmetic and geometric means.

For $0 \leq \lambda_1, \dots, \lambda_n \leq 1$ such that $\lambda_1 + \dots + \lambda_n = 1$ have

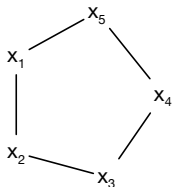
$$\lambda_1 t_1 + \dots + \lambda_n t_n \geq t_1^{\lambda_1} \dots t_n^{\lambda_n}$$

- m is **not** SOS (Motzkin, Reznik).
- $m(y_1^2, y_2^2, y_3^2)$ **is** SOS.

The Horn Polynomial

The Horn Polynomial

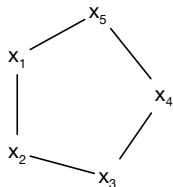
$$h = (x_1 + \cdots + x_5)^2 - 4(x_1x_2 + x_2x_3 + x_3x_4 + x_4x_5 + x_5x_1)$$



The Horn Polynomial

$$h = (x_1 + \cdots + x_5)^2 - 4(x_1x_2 + x_2x_3 + x_3x_4 + x_4x_5 + x_5x_1)$$

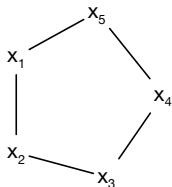
1 $h(\bar{x}) \geq 0$ for all $\bar{x} \in \mathbb{R}_{\geq 0}^5$ (Hall+Newman 1963)



The Horn Polynomial

$$h = (x_1 + \cdots + x_5)^2 - 4(x_1x_2 + x_2x_3 + x_3x_4 + x_4x_5 + x_5x_1)$$

- 1 $h(\bar{x}) \geq 0$ for all $\bar{x} \in \mathbb{R}_{\geq 0}^5$ (Hall+Newman 1963)
- 2 For every $k > 0$, the polynomial $h(x_1^k, \dots, x_5^k)$ is not SOS (Powers+Reznik 2021)

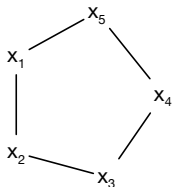


The Horn Polynomial

$$h = (x_1 + \dots + x_5)^2 - 4(x_1x_2 + x_2x_3 + x_3x_4 + x_4x_5 + x_5x_1)$$

- 1 $h(\bar{x}) \geq 0$ for all $\bar{x} \in \mathbb{R}_{\geq 0}^5$ (Hall+Newman 1963)
- 2 For every $k > 0$, the polynomial $h(x_1^k, \dots, x_5^k)$ is not SOS (Powers+Reznik 2021)

Part 1 can be generalised from C_5 to every graph, based on the following principle by Motzkin+Straus 1964:



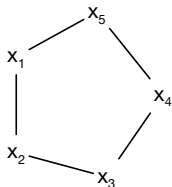
The Horn Polynomial

$$h = (x_1 + \cdots + x_5)^2 - 4(x_1x_2 + x_2x_3 + x_3x_4 + x_4x_5 + x_5x_1)$$

- 1 $h(\bar{x}) \geq 0$ for all $\bar{x} \in \mathbb{R}_{\geq 0}^5$ (Hall+Newman 1963)
- 2 For every $k > 0$, the polynomial $h(x_1^k, \dots, x_5^k)$ is not SOS (Powers+Reznik 2021)

Part 1 can be generalised from C_5 to every graph, based on the following principle by Motzkin+Straus 1964:
For every graph $G = ([n], E)$, the expression

$$\max_{\substack{0 \leq \lambda_1, \dots, \lambda_n \leq 1 \\ \lambda_1 + \dots + \lambda_n = 1}} \sum_{\{u,v\} \in E} \lambda_u \lambda_v$$



The Horn Polynomial

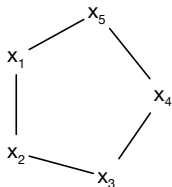
$$h = (x_1 + \cdots + x_5)^2 - 4(x_1x_2 + x_2x_3 + x_3x_4 + x_4x_5 + x_5x_1)$$

- 1 $h(\bar{x}) \geq 0$ for all $\bar{x} \in \mathbb{R}_{\geq 0}^5$ (Hall+Newman 1963)
- 2 For every $k > 0$, the polynomial $h(x_1^k, \dots, x_5^k)$ is not SOS (Powers+Reznik 2021)

Part 1 can be generalised from C_5 to every graph, based on the following principle by Motzkin+Straus 1964:
For every graph $G = ([n], E)$, the expression

$$\max_{\substack{0 \leq \lambda_1, \dots, \lambda_n \leq 1 \\ \lambda_1 + \dots + \lambda_n = 1}} \sum_{\{u,v\} \in E} \lambda_u \lambda_v$$

has value $\frac{1}{2}(1 - \frac{1}{k})$ where k is the size of the largest clique in G .



Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$$

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$$

May view $P_+(S)$ as a (semialgebraic) relation:

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$

May view $P_+(S)$ as a (semialgebraic) relation:

$$\{c \in \mathbb{R}^{|S|} \mid \forall x_1, \dots, x_n \sum_{s \in S} c_s \prod_{i=1}^n x_i^{s_i} \geq 0\}.$$

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$

May view $P_+(S)$ as a (semialgebraic) relation:

$$\{c \in \mathbb{R}^{|S|} \mid \forall x_1, \dots, x_n \sum_{s \in S} c_s \prod_{i=1}^n x_i^{s_i} \geq 0\}.$$

Theorem. TFAE:

1 $P_+(S)$ is spectrahedral shadow.

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$

May view $P_+(S)$ as a (semialgebraic) relation:

$$\{c \in \mathbb{R}^{|S|} \mid \forall x_1, \dots, x_n \sum_{s \in S} c_s \prod_{i=1}^n x_i^{s_i} \geq 0\}.$$

Theorem. TFAE:

- 1 $P_+(S)$ is spectrahedral shadow.
- 2 $P_+(S)$ has a positive definition in (\mathfrak{B}, \neq) .

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$

May view $P_+(S)$ as a (semialgebraic) relation:

$$\{c \in \mathbb{R}^{|S|} \mid \forall x_1, \dots, x_n \sum_{s \in S} c_s \prod_{i=1}^n x_i^{s_i} \geq 0\}.$$

Theorem. TFAE:

- 1 $P_+(S)$ is spectrahedral shadow.
- 2 $P_+(S)$ has a positive definition in (\mathfrak{B}, \neq) .
- 3 there exists $d \geq 1$ such that for every $p \in P_+(S)$: $p(x_1^d, \dots, x_n^d) \in \text{SOS}$.

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$

May view $P_+(S)$ as a (semialgebraic) relation:

$$\{c \in \mathbb{R}^{|S|} \mid \forall x_1, \dots, x_n \sum_{s \in S} c_s \prod_{i=1}^n x_i^{s_i} \geq 0\}.$$

Theorem. TFAE:

- 1 $P_+(S)$ is spectrahedral shadow.
- 2 $P_+(S)$ has a positive definition in (\mathfrak{B}, \neq) .
- 3 there exists $d \geq 1$ such that for every $p \in P_+(S)$: $p(x_1^d, \dots, x_n^d) \in \text{SOS}$.

1 \Rightarrow 2: trivial.

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$

May view $P_+(S)$ as a (semialgebraic) relation:

$$\{c \in \mathbb{R}^{|S|} \mid \forall x_1, \dots, x_n \sum_{s \in S} c_s \prod_{i=1}^n x_i^{s_i} \geq 0\}.$$

Theorem. TFAE:

- 1 $P_+(S)$ is spectrahedral shadow.
- 2 $P_+(S)$ has a positive definition in (\mathfrak{B}, \neq) .
- 3 there exists $d \geq 1$ such that for every $p \in P_+(S)$: $p(x_1^d, \dots, x_n^d) \in \text{SOS}$.

1 \Rightarrow 2: trivial.

2 \Rightarrow 3: hardest part. Need a new separation result.

Main Result

$S \subset \mathbb{Z}_{\geq 0}^n$ finite.

$P_+(S) := \{p \in \mathbb{R}[x_1, \dots, x_n] \mid p \text{ has support } S, \text{ and } p(x) \geq 0 \text{ for all } x \in \mathbb{R}^n\}$

May view $P_+(S)$ as a (semialgebraic) relation:

$$\{c \in \mathbb{R}^{|S|} \mid \forall x_1, \dots, x_n \sum_{s \in S} c_s \prod_{i=1}^n x_i^{s_i} \geq 0\}.$$

Theorem. TFAE:

- 1 $P_+(S)$ is spectrahedral shadow.
- 2 $P_+(S)$ has a positive definition in (\mathfrak{B}, \neq) .
- 3 there exists $d \geq 1$ such that for every $p \in P_+(S)$: $p(x_1^d, \dots, x_n^d) \in \text{SOS}$.

1 \Rightarrow 2: trivial.

2 \Rightarrow 3: hardest part. Need a new separation result.

3 \Rightarrow 1: well-known for $d = 1$.

Consequences

Corollary. For $n \geq 5$, the set of all copositive matrices $A \in \mathbb{R}^{n \times n}$ is **not** a spectrahedral shadow.

Consequences

Corollary. For $n \geq 5$, the set of all copositive matrices $A \in \mathbb{R}^{n \times n}$ is **not** a spectrahedral shadow.

Answers question of Scheiderer (2018).

Consequences

Corollary. For $n \geq 5$, the set of all copositive matrices $A \in \mathbb{R}^{n \times n}$ is **not** a spectrahedral shadow.

Answers question of Scheiderer (2018).

Proof. Set of copositive matrices

\longleftrightarrow Set of all homogeneous $q \in \mathbb{R}[x_1, \dots, x_n]$ of degree 2 such that

$$q(x_1^2, \dots, x_n^2) \geq 0 \text{ for all } x_1, \dots, x_n \in \mathbb{R}$$

Consequences

Corollary. For $n \geq 5$, the set of all copositive matrices $A \in \mathbb{R}^{n \times n}$ is **not** a spectrahedral shadow.

Answers question of Scheiderer (2018).

Proof. Set of copositive matrices

\longleftrightarrow Set of all homogeneous $q \in \mathbb{R}[x_1, \dots, x_n]$ of degree 2 such that

$$q(x_1^2, \dots, x_n^2) \geq 0 \text{ for all } x_1, \dots, x_n \in \mathbb{R}$$

$\longleftrightarrow P_+(S)$ for $S = \{2\mathbf{s} \in \mathbb{Z}_{\geq 0}^n \mid \mathbf{s}_1 + \dots + \mathbf{s}_n = 2\}$.

Consequences

Corollary. For $n \geq 5$, the set of all copositive matrices $A \in \mathbb{R}^{n \times n}$ is **not** a spectrahedral shadow.

Answers question of Scheiderer (2018).

Proof. Set of copositive matrices

\longleftrightarrow Set of all homogeneous $q \in \mathbb{R}[x_1, \dots, x_n]$ of degree 2 such that

$$q(x_1^2, \dots, x_n^2) \geq 0 \text{ for all } x_1, \dots, x_n \in \mathbb{R}$$

$\longleftrightarrow P_+(S)$ for $S = \{2s \in \mathbb{Z}_{\geq 0}^n \mid s_1 + \dots + s_n = 2\}$.

Define $p := h(x_1^2, \dots, x_n^2)$ for Horn polynomial h .

Consequences

Corollary. For $n \geq 5$, the set of all copositive matrices $A \in \mathbb{R}^{n \times n}$ is **not** a spectrahedral shadow.

Answers question of Scheiderer (2018).

Proof. Set of copositive matrices

\longleftrightarrow Set of all homogeneous $q \in \mathbb{R}[x_1, \dots, x_n]$ of degree 2 such that

$$q(x_1^2, \dots, x_n^2) \geq 0 \text{ for all } x_1, \dots, x_n \in \mathbb{R}$$

$\longleftrightarrow P_+(S)$ for $S = \{2s \in \mathbb{Z}_{\geq 0}^n \mid s_1 + \dots + s_n = 2\}$.

Define $p := h(x_1^2, \dots, x_n^2)$ for Horn polynomial h .

■ $p \in P_+(S)$,

Consequences

Corollary. For $n \geq 5$, the set of all copositive matrices $A \in \mathbb{R}^{n \times n}$ is **not** a spectrahedral shadow.

Answers question of Scheiderer (2018).

Proof. Set of copositive matrices

\longleftrightarrow Set of all homogeneous $q \in \mathbb{R}[x_1, \dots, x_n]$ of degree 2 such that

$$q(x_1^2, \dots, x_n^2) \geq 0 \text{ for all } x_1, \dots, x_n \in \mathbb{R}$$

$\longleftrightarrow P_+(S)$ for $S = \{2s \in \mathbb{Z}_{\geq 0}^n \mid s_1 + \dots + s_n = 2\}$.

Define $p := h(x_1^2, \dots, x_n^2)$ for Horn polynomial h .

- $p \in P_+(S)$,
- $p(x_1^d, \dots, x_n^d)$ not SOS for all $d > 0$.

Consequences

Corollary. For $n \geq 5$, the set of all copositive matrices $A \in \mathbb{R}^{n \times n}$ is **not** a spectrahedral shadow.

Answers question of Scheiderer (2018).

Proof. Set of copositive matrices

\longleftrightarrow Set of all homogeneous $q \in \mathbb{R}[x_1, \dots, x_n]$ of degree 2 such that

$$q(x_1^2, \dots, x_n^2) \geq 0 \text{ for all } x_1, \dots, x_n \in \mathbb{R}$$

$\longleftrightarrow P_+(S)$ for $S = \{2s \in \mathbb{Z}_{\geq 0}^n \mid s_1 + \dots + s_n = 2\}$.

Define $p := h(x_1^2, \dots, x_n^2)$ for Horn polynomial h .

- $p \in P_+(S)$,
- $p(x_1^d, \dots, x_n^d)$ not SOS for all $d > 0$.

Theorem implies: $P_+(S)$ not spectrahedral shadow. □

Separation Result

Outline of proof of the hard direction.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.
- 3 Have $T = T_f$ by the R -linearity of T .

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.
- 3 Have $T = T_f$ by the R -linearity of T .
- 4 (a) implies that f is positive definite.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.
- 3 Have $T = T_f$ by the R -linearity of T .
- 4 (a) implies that f is positive definite.
- 5 Replacing T by $T/T(1)$, may assume $f(0) = 1$, so that L_f is unital.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.
- 3 Have $T = T_f$ by the R -linearity of T .
- 4 (a) implies that f is positive definite.
- 5 Replacing T by $T/T(1)$, may assume $f(0) = 1$, so that L_f is unital.
- 6 $L_f: R[[\epsilon^{\mathbb{Q}^n}]] \rightarrow R[[\epsilon^{\mathbb{Q}^n}]]$ is (\mathbb{R} -linear and) completely positive.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.
- 3 Have $T = T_f$ by the R -linearity of T .
- 4 (a) implies that f is positive definite.
- 5 Replacing T by $T/T(1)$, may assume $f(0) = 1$, so that L_f is unital.
- 6 $L_f: R[[\epsilon^{\mathbb{Q}^n}]] \rightarrow R[[\epsilon^{\mathbb{Q}^n}]]$ is (\mathbb{R} -linear and) completely positive.
- 7 $q := p(\epsilon_1 x_1, \dots, \epsilon_n x_n)$ polynomial with coefficients in $R[[\epsilon^{\mathbb{Q}^n}]]$.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.
- 3 Have $T = T_f$ by the R -linearity of T .
- 4 (a) implies that f is positive definite.
- 5 Replacing T by $T/T(1)$, may assume $f(0) = 1$, so that L_f is unital.
- 6 $L_f: R[[\epsilon^{\mathbb{Q}^n}]] \rightarrow R[[\epsilon^{\mathbb{Q}^n}]]$ is (\mathbb{R} -linear and) completely positive.
- 7 $q := p(\epsilon_1 x_1, \dots, \epsilon_n x_n)$ polynomial with coefficients in $R[[\epsilon^{\mathbb{Q}^n}]]$.
- 8 $q(\bar{b}) \geq 0$ for all \bar{b} , since $p \in P_+(S)$.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.
- 3 Have $T = T_f$ by the R -linearity of T .
- 4 (a) implies that f is positive definite.
- 5 Replacing T by $T/T(1)$, may assume $f(0) = 1$, so that L_f is unital.
- 6 $L_f: R[[\epsilon^{\mathbb{Q}^n}]] \rightarrow R[[\epsilon^{\mathbb{Q}^n}]]$ is (\mathbb{R} -linear and) completely positive.
- 7 $q := p(\epsilon_1 x_1, \dots, \epsilon_n x_n)$ polynomial with coefficients in $R[[\epsilon^{\mathbb{Q}^n}]]$.
- 8 $q(\bar{b}) \geq 0$ for all \bar{b} , since $p \in P_+(S)$.
- 9 $L_f(q)(\epsilon_1^{-1}, \dots, \epsilon_n^{-1}) = T_f(p) < 0$.

Separation Result

Outline of proof of the hard direction. Suppose $p \in P_+(S)$ is **not** SOS.

- 1 Construct real closed field R and R -linear $T: R[\mathbb{Q}^n] \rightarrow R$ such that
 - (a) $T(a^2) > 0$ for all $a \in R[\mathbb{Q}^n] \setminus \{0\}$, and
 - (b) $T(p) < 0$.
- 2 Define $f: \mathbb{Q}^n \rightarrow R$ by $f(w) := T(\epsilon_1^{w_1} \cdots \epsilon_n^{w_n})$.
- 3 Have $T = T_f$ by the R -linearity of T .
- 4 (a) implies that f is positive definite.
- 5 Replacing T by $T/T(1)$, may assume $f(0) = 1$, so that L_f is unital.
- 6 $L_f: R[[\epsilon^{\mathbb{Q}^n}]] \rightarrow R[[\epsilon^{\mathbb{Q}^n}]]$ is (\mathbb{R} -linear and) completely positive.
- 7 $q := p(\epsilon_1 x_1, \dots, \epsilon_n x_n)$ polynomial with coefficients in $R[[\epsilon^{\mathbb{Q}^n}]]$.
- 8 $q(\bar{b}) \geq 0$ for all \bar{b} , since $p \in P_+(S)$.
- 9 $L_f(q)(\epsilon_1^{-1}, \dots, \epsilon_n^{-1}) = T_f(p) < 0$.
- 10 Thus, L_f preserves all relations of \mathfrak{B} , but not the formula defining $P_+(S)$. □

Open Problems

- 1 What is the computational complexity of SDP feasibility,

Open Problems

- 1 What is the computational complexity of SDP feasibility, i.e., the problem of determining whether a

$$\{(x_1, \dots, x_n) \mid A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0\} = \emptyset$$

for given symmetric $A_0, A_1, \dots, A_n \in \mathbb{Q}^{k \times k}$?

Open Problems

- 1 What is the computational complexity of SDP feasibility, i.e., the problem of determining whether a

$$\{(x_1, \dots, x_n) \mid A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0\} = \emptyset$$

for given symmetric $A_0, A_1, \dots, A_n \in \mathbb{Q}^{k \times k}$? Is it in P?

Open Problems

- 1 What is the computational complexity of SDP feasibility, i.e., the problem of determining whether a

$$\{(x_1, \dots, x_n) \mid A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0\} = \emptyset$$

for given symmetric $A_0, A_1, \dots, A_n \in \mathbb{Q}^{k \times k}$? Is it in P?
Is in $\exists \mathbb{R} \subseteq \text{PSPACE}$.

Open Problems

- 1 What is the computational complexity of SDP feasibility, i.e., the problem of determining whether a

$$\{(x_1, \dots, x_n) \mid A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0\} = \emptyset$$

for given symmetric $A_0, A_1, \dots, A_n \in \mathbb{Q}^{k \times k}$? Is it in P?
Is in $\exists \mathbb{R} \subseteq \text{PSPACE}$. If it is in NP, then $\text{NP} = \text{coNP}$.

Open Problems

- 1 What is the computational complexity of SDP feasibility, i.e., the problem of determining whether a

$$\{(x_1, \dots, x_n) \mid A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0\} = \emptyset$$

for given symmetric $A_0, A_1, \dots, A_n \in \mathbb{Q}^{k \times k}$? Is it in P?

Is in $\exists \mathbb{R} \subseteq \text{PSPACE}$. If it is in NP, then $\text{NP} = \text{coNP}$.

- 2 'Sums-of-square-roots-problem': What is the complexity of deciding $\sqrt{a_1} + \dots + \sqrt{a_n} \leq a_0$ for given $a_0, a_1, \dots, a_n \in \mathbb{Q}$?

Open Problems

- 1 What is the computational complexity of SDP feasibility, i.e., the problem of determining whether a

$$\{(x_1, \dots, x_n) \mid A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0\} = \emptyset$$

for given symmetric $A_0, A_1, \dots, A_n \in \mathbb{Q}^{k \times k}$? Is it in P?

Is in $\exists \mathbb{R} \subseteq \text{PSPACE}$. If it is in NP, then $\text{NP} = \text{coNP}$.

- 2 'Sums-of-square-roots-problem': What is the complexity of deciding $\sqrt{a_1} + \dots + \sqrt{a_n} \leq a_0$ for given $a_0, a_1, \dots, a_n \in \mathbb{Q}$? Reduces to semidefinite program feasibility.

Open Problems

- 1 What is the computational complexity of SDP feasibility, i.e., the problem of determining whether a

$$\{(x_1, \dots, x_n) \mid A_0 + A_1 x_1 + \dots + A_n x_n \succeq 0\} = \emptyset$$

for given symmetric $A_0, A_1, \dots, A_n \in \mathbb{Q}^{k \times k}$? Is it in P?

Is in $\exists \mathbb{R} \subseteq \text{PSPACE}$. If it is in NP, then $\text{NP} = \text{coNP}$.

- 2 'Sums-of-square-roots-problem': What is the complexity of deciding $\sqrt{a_1} + \dots + \sqrt{a_n} \leq a_0$ for given $a_0, a_1, \dots, a_n \in \mathbb{Q}$? Reduces to semidefinite program feasibility.
- 3 Prove that the constraint satisfaction problem for every non-linear convex semi-algebraic expansion of $(\mathbb{R}; +, 1, \leq)$ is at least as hard as the sums-of-square-roots problem.

Open Problems

- 1 What is the computational complexity of SDP feasibility, i.e., the problem of determining whether a

$$\{(x_1, \dots, x_n) \mid A_0 + A_1x_1 + \dots + A_nx_n \succeq 0\} = \emptyset$$

for given symmetric $A_0, A_1, \dots, A_n \in \mathbb{Q}^{k \times k}$? Is it in P?
Is in $\exists \mathbb{R} \subseteq \text{PSPACE}$. If it is in NP, then $\text{NP} = \text{coNP}$.

- 2 'Sums-of-square-roots-problem': What is the complexity of deciding $\sqrt{a_1} + \dots + \sqrt{a_n} \leq a_0$ for given $a_0, a_1, \dots, a_n \in \mathbb{Q}$? Reduces to semidefinite program feasibility.
- 3 Prove that the constraint satisfaction problem for every non-linear convex semi-algebraic expansion of $(\mathbb{R}; +, 1, \leq)$ is at least as hard as the sums-of-square-roots problem.
- 4 Does $\{(x, y) \mid y \geq x^6\}$ have a primitive positive definition in $(\mathbb{R}; +, 1, \{(x, y) \mid y \geq x^2\})$?