

# Clones over finite sets and Minor Conditions

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Example:

$\mathcal{P}_2 := \langle \emptyset \rangle$	all projections over $\{0,1\}$ ;
$\mathcal{I}_2 := \langle \wedge, x +_2 y +_2 z \rangle$	all idempotent operations over $\{0,1\}$ ;
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Def: An operation  $f: A^n \rightarrow A$  preserves a relation  $R \subseteq A^n$  if  $\forall a_1, \dots, a_n \in R$ ,  $\begin{pmatrix} f(a_1^1, \dots, a_n^1) \\ \vdots \\ f(a_1^k, \dots, a_n^k) \end{pmatrix} \in R$ .

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- An operation  $f$  is a polymorphism of  $\mathbb{A} = (A; \Gamma)$  if  $f$  preserves  $R$ , for every  $R \in \Gamma$ .
- By  $\text{Pol}(\mathbb{A})$  we denote the set of all polymorphisms of  $\mathbb{A}$ . (it is a clone)

Example:  $\mathcal{C}_p := \text{Pol}(\{0,1,\dots,p-1\}; \{(0,1), (1,2), \dots, (p-1,0)\})$



$\mathcal{B}_2 := \text{Pol}(\{0,1\}; \{(0,1), (1,0), (1,1)\}, \{0\}, \{1\})$

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- A relation  $S \subseteq A^n$  is pp-definable from  $\Gamma$  (set of relations over  $A$ ) if it can be defined by a formula that uses relations from  $\Gamma$ ,  $\exists$ ,  $\wedge$ , and  $=$ .
  - A relational clone over  $A$  is a set of relations over  $A$  closed under pp-definable relations (and containing  $\emptyset$ ).

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Thm [Bodnaruk, Kaluznin, Kotov, Roman; Geiger]:  $F$ : set of oper. over some finite universe;  $\Gamma$ : set of relations over some finite universe.

Then  $\text{Pol}(\text{Inv}(F)) = \langle F \rangle$  and  $\text{Inv}(\text{Pol}(\Gamma)) = [\Gamma]$  — relational clone generated by  $\Gamma$

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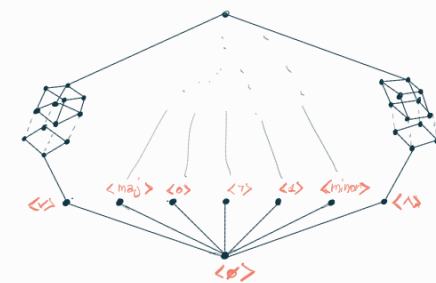
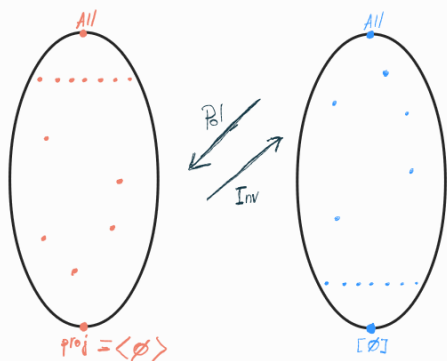
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Thm: Let  $A$  be an  $n$ -element set. The set of all clones over  $A$  forms a lattice  $\mathcal{L}_n$  under inclusion.



$\mathcal{L}_2$  a.k.a. Post Lattice

# Clones over $\{0,1,2\}$

Thm [Yanov, Muchnik]: Let  $A$  be a finite set s.t.  $|A| \geq 3$ . There are  $2^{\omega}$  many clones over  $A$ .

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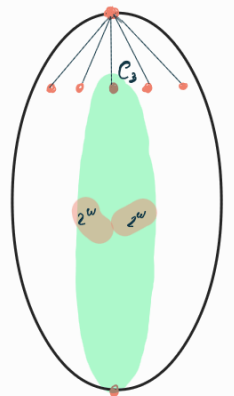
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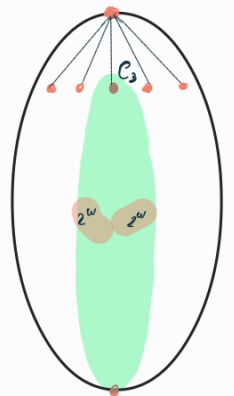
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:  $\mathcal{C}_3$  is the only maximal clone over  $\{0,1,2\}$ , containing  $2^w$  many subclones whose lattice of subclones has been completely described.

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Formally, let  $f$  be an  $n$ -ary operation and  $\pi: \{1, \dots, n\} \rightarrow \{1, \dots, r\}$ .

We write  $f_\pi$  to denote the  $r$ -ary operation  $f_\pi(x_1, \dots, x_r) := f(x_{\pi(1)}, \dots, x_{\pi(n)})$ .

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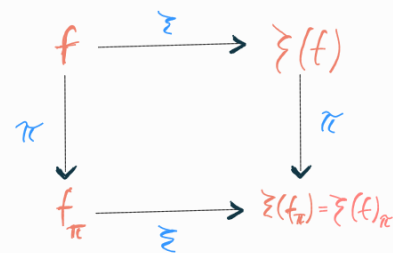
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Def: A **minor preserving** map is a map  $\xi: A \rightarrow B$  ( $A \leq_m B$ ) such that

- $\xi$  preserves varieties;
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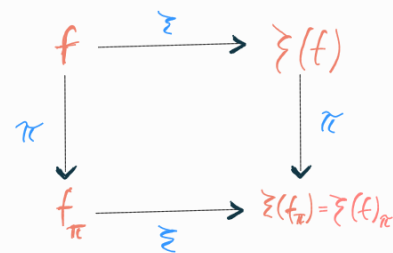
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- the domain of  $\mathbb{P}$  is  $A^n$ , for some  $n \geq 1$ ;
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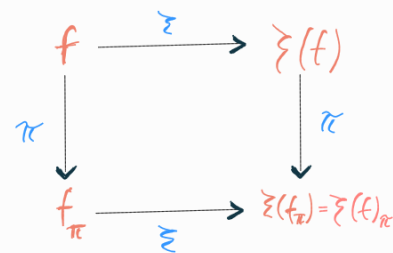
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Def:  $A$  **pp-constructs**  $B$  if  $B$  is hom. equivalent to a pp-power of  $A$ .

# CSP in a Nutshell

Def:  $A, B$  :  $\tau$ -structures ( $\tau$ : finite relational signature).

A **homomorphism** from  $A$  to  $B$  ( $A \rightarrow B$ ) is a map  $h: A \rightarrow B$  s.t., for every  $R \in \tau$ ,

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Example: • CSP( $K_3$ ) is equivalent to the 3-colourability problem for graphs.



• CSP( $\underbrace{\{0, \dots, p-1\}}_{E_p}$ ; all affine subspaces  $R_{abcd}$ )  $\sim$  solving systems of lin. eq. over  $\mathbb{Z}_p$

$$R_{abcd} := \{ (x, y, z) \in E_p^3 \mid ax + by + cz = d \}$$

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Def: • A **minor identity** is an abstract expression of the form

$$\forall x_1, \dots, x_n, y_1, \dots, y_m \left( \underbrace{f(x_1, \dots, x_n)}_{\text{operation symbols}} = \underbrace{g(y_1, \dots, y_m)}_{\text{not necessarily distinct}} \right)$$

For short: $f(x_1, \dots, x_n) \approx g(y_1, \dots, y_m)$ or $f \approx g$
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• A **minor condition** is a finite set of minor identities.

Example: ▶  $m(x, x, y) \approx m(y, x, x) \approx m(y, y, y)$   
QUASI MAJORITY

▶  $c(x_1, \dots, x_p) \approx c(x_2, \dots, x_p, x_1)$   
p-CYCLIC

▶  $m(x, x, y) \approx m(x, y, x) \approx m(y, x, x) \approx m(x, x, x)$   
QUASI MAJORITY

▶  $f(\underbrace{x_1, \dots, x_n}_X) \approx f(\underbrace{y_1, \dots, y_n}_Y)$ , whenever  $X = Y$ .  
Tot. SYMMETRIC

# Algebra meets CSP

Def: We say that a set of operations  $F$  satisfies a minor condition  $\Sigma$  if there is a map  $\xi$  assigning to each operation symbol occurring in  $F$  an operation in  $F$  of the same arity s.t. if  $(p \approx q) \in \Sigma$ , then  $\xi(p) = \xi(q)$ . ( $F \models \Sigma$ )

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Thm [BOP '15]: Let  $A$  and  $B$  be finite relational structures. TFAE:

- (1) There exist a minor-preserving map from  $\text{Pol}(A)$  to  $\text{Pol}(B)$  ( $\text{Pol}(A) \leq_m \text{Pol}(B)$ );
- (2)  $A$  pp-constructs  $B$  ( $A \leq_{\text{con}} B$ );
- (3)  $\nexists \text{Pol}(A) \models \Sigma$ , then  $\text{Pol}(B) \models \Sigma$ .

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Thm [Siggers 2010]: Let  $\mathcal{C}$  be a clone over a finite set. TFAE:

(1)  $\mathcal{C}$  satisfies a non-trivial minor condition;

(2)  $\mathcal{C} \models S(x, y, z, x, y, z) \approx S(y, x, x, z, z, y)$ .

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Thm [Bulatov '17; Zhuk '17]: Let  $\mathcal{A} = \text{Pol}(A)$  be a clone over a finite set.

If  $\mathcal{A} \models S(x, y, z, x, y, z) \approx S(y, x, x, z, z, y)$ , then  $\text{CSP}(A)$  is in P.

Otherwise,  $\text{CSP}(A)$  is NP-complete

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We denote by  $\bar{C}$  the  $\equiv_m$ -class of  $C$ . Also, we write  $\bar{C} \leq_m \bar{D}$  iff  $C \leq_m D$ .

$$\mathcal{J}_{\text{fin}} := (\{ \bar{C} \mid C \text{ is a clone over some finite set} \}; \leq_m)$$

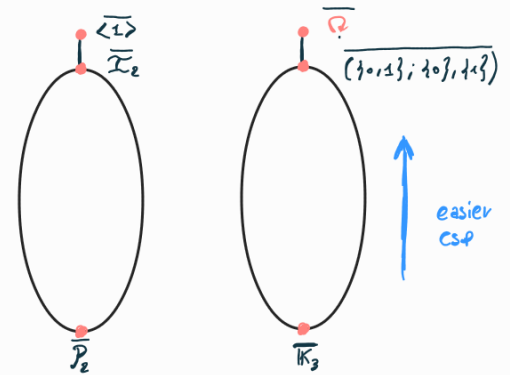
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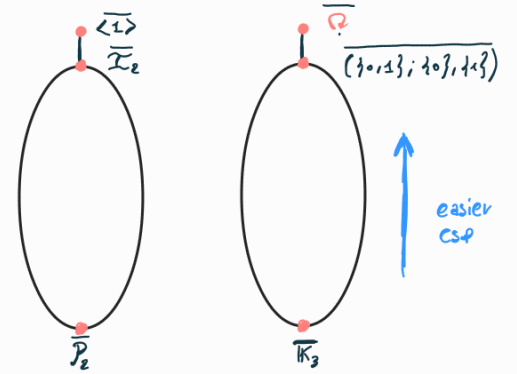
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Some results:  $\blacktriangleright$  Complete description of  $\mathcal{P}_2$  [Bodirsky, V.]





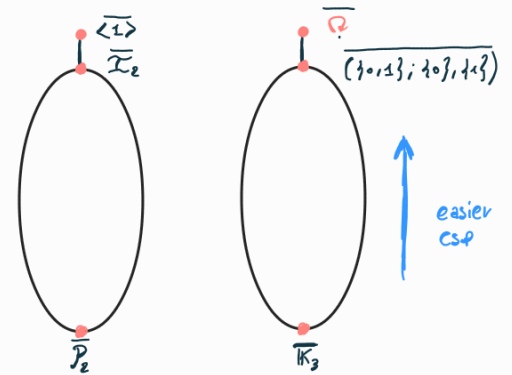
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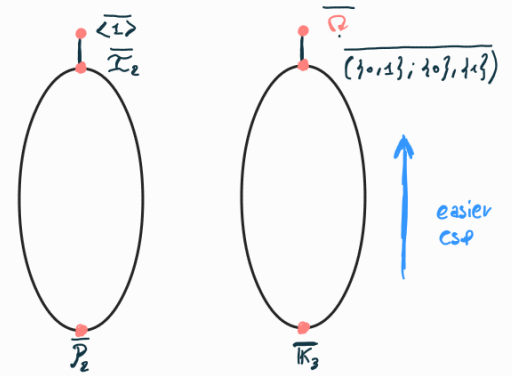
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Some results: ▶ Complete description of  $\mathcal{D}_2$  [Bodirsky, V.]



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▶ Complete description of the lattice of clones of self-dual clones w.r.t.  $\leq_m$  [Bodirsky, Zhu, V.]

remarkably  
countably infinite!

# Submaximal elements of $\mathcal{P}_3$

Want: Show that  $\overline{\text{Pol}(\uparrow \downarrow)}$ ,  $\overline{\text{Pol}(\uparrow \leftarrow \downarrow)}$ ,  $\overline{\text{Pol}(\{0,1\}; \{(0,1), (1,0), (1,1)\}, \{0\}, \{1\})}$  are the only submax. el. of  $\mathcal{P}_3$ .

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Key observations:  $\triangleright \mathcal{I}_2 = \langle \wedge, x +_2 y +_2 z \rangle$

$\triangleright \forall n \geq 2$ ,  $\wedge(x_1, \wedge(x_2, \wedge(x_3, \dots \wedge(x_{n-1}, x_n) \dots)))$  is a totally symm. op. of arity  $n$ .

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  - ▶ every term operation in  $\mathcal{L}_2$  can be expressed as a sum of  $l$  many monomials, for some odd  $l$ ; the use of constants is not allowed.

→ show that if  $A$  is a clone satisfying  $\otimes$ , then  $A$  has

- a tot. symm. op. of arity  $n$ ,  $\forall n \geq 2$ ;
- a operation that "simulates the odd sum modulo 2".

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First step:

Thm: Let  $A$  be a finite structure. Then for every prime  $p$ :

$A$  pp-constructs  $C_p \iff \text{Pol}(A)$  does not satisfy  $C(x_1, \dots, x_p) \approx C(x_2, \dots, x_p, x_1)$   $\Sigma_p$



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• Domain of  $\mathbb{P}$ ,  $P = \{f \mid f: A^p \rightarrow A\}$

• Relation  $R^P$  is the following binary relation:

it consists of all pairs  $(f, g)$  s.t.  $f, g \in \text{Pol}(A)$  and

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- Partition  $\text{Pol}_p(A)$  into eq. classes s.t.

$f_1 \sim f_2$  if  $f_1$  can be obtained by a cyclic shift of the variables of  $f_2$ .

(to be continued)  $\downarrow$

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proof: • Choose one representative from every  $\sim$ -class  $\rightarrow$  denote this set of operations  $F_0$ .

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•  $\mathcal{P} \leftrightarrow \mathcal{C}_p$  :  $h: \mathcal{P} \rightarrow \mathcal{C}_p$  |  $h': \mathcal{C}_p \rightarrow \mathcal{P}$ ; choose  $f_0 \in F_0$ ; all its cyclic shifts  $f_i \in F_i$   
 $F_i \mapsto i, \forall i$  |  $i \mapsto f_i$

□

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Second step

Thm: Let  $A$  be a finite structure.  $A$  pp-constructs  $\mathbb{B}_2 \iff \text{Pol}(A)$  does not satisfy Malcev.

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$$S(x_1, \dots, x_n) := m \left( \begin{array}{l} S_{n-1}(x_1, M^c(x_1, x_2, x_3), x_4, \dots, x_n), \\ S_{n-1}(x_2, M^c(x_1, x_2, x_3), x_4, \dots, x_n), \\ S_{n-1}(x_1, M^c(x_1, x_2, x_3), x_4, \dots, x_n) \end{array} \right)$$

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The addition

Def: An addition of arity  $n$  is an idempotent operation  $m_n^c : A^n \rightarrow A$  s.t.

$$m_n^c(x_1, \dots, x_n) = \begin{cases} c \in A \\ \text{the only value occurring} \\ \text{an odd number of times} \end{cases}$$

if there are at least three different values occurring in the tuple  $(x_1, \dots, x_n)$

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► D. Zhuk  $\implies m_{2n+1}^c := m_3^c \left( \begin{array}{l} \text{-----} \\ \text{-----} \\ \text{-----} \end{array} \right)$

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Def: An addition of arity  $n$  is an idempotent operation  $m_n^c : A^n \rightarrow A$  s.t.

$$m_n^c(x_1, \dots, x_n) = \begin{cases} c \in A \\ \text{the only value occurring} \\ \text{an odd number of times} \end{cases}$$

if there are at least three different values occurring in the tuple  $(x_1, \dots, x_n)$

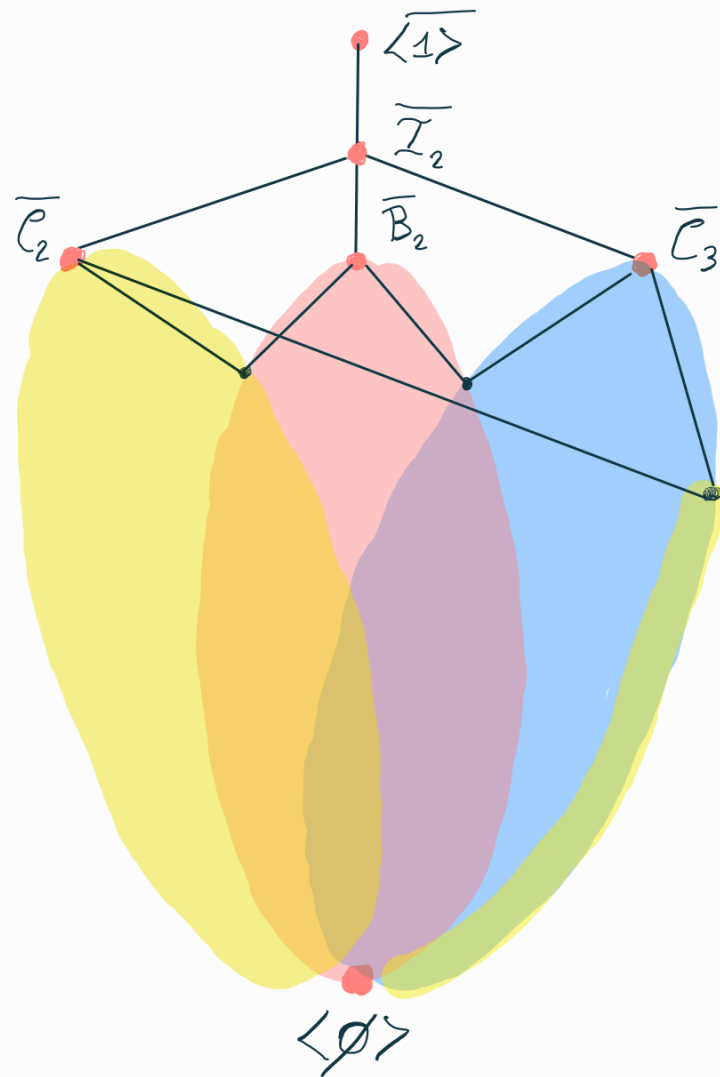
otw.

► D. Zhuk  $\Rightarrow m_{2n+1}^c := m_3^c \left( \begin{array}{l} \text{-----} \\ \text{-----} \\ \text{-----} \end{array} \right)$

Thm: There is a minor preserving map from  $\mathcal{L}_2$  to  $\mathcal{A}$  (where  $\mathcal{A}$  satisfies  $\odot$ ).

$\sum$ : sum of  $l$  monomials  $\mapsto m_l(s_{n_1}(\dots), \dots, s_{n_l}(\dots))$

# $\mathcal{P}_3$ : an overview



- : fully described [B V Z]
- : potentially 2<sup>nd</sup> elements
- : work in progress  
[FORAVANTI, ROSSI, V.]

