

Clones over finite sets up to minor-equivalence

Albert Vucaj

TU Wien

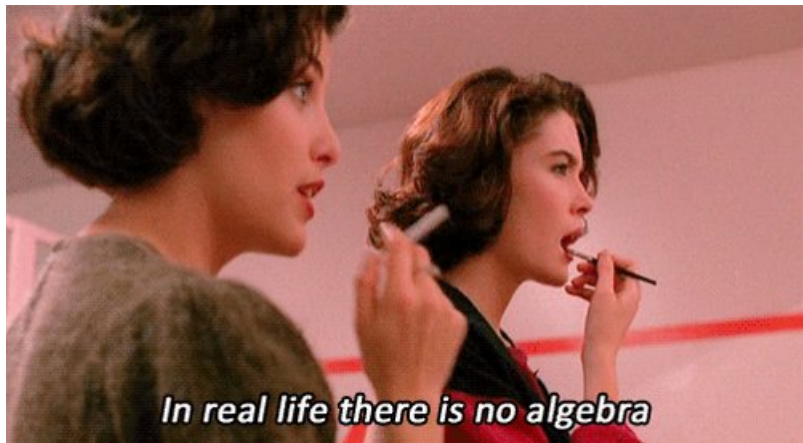
Algebra Week, Siena , 7 July 2023

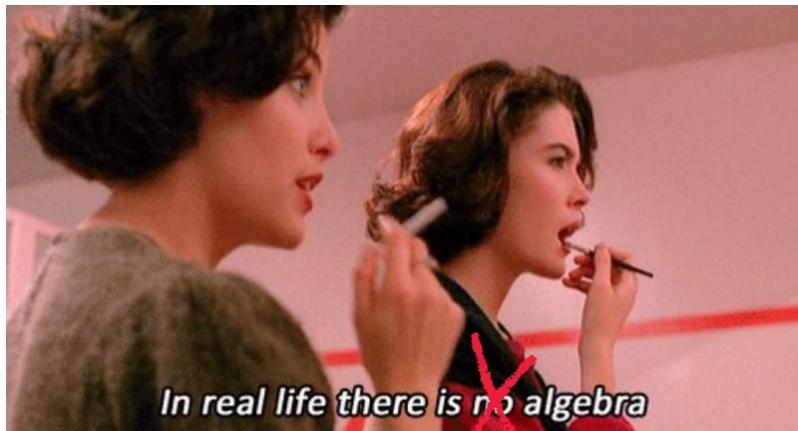


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In real life there is ~~no~~ algebra


TOPOLOGY IS IRRELEVANT
(IN A DICHOTOMY CONJECTURE FOR INFINITE DOMAIN
CONSTRAINT SATISFACTION PROBLEMS)

LIBOR BARTO AND MICHAEL PINSKER



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MANUEL BODIRSKY, ANTOINE MOTTET, MIROSLAV OLŠÁK, JAKUB OPRŠAL,
MICHAEL PINSKER, AND ROSS WILLARD



It is all about symmetries

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 - \mathbb{A}, \mathbb{B} : τ -structures (τ : finite relational signature).

Definition

A **homomorphism** from \mathbb{A} to \mathbb{B} is a map $h: A \rightarrow B$ s.t., for every $R \in \tau$,

$$(a_1, \dots, a_n) \in R^{\mathbb{A}} \implies (h(a_1), \dots, h(a_n)) \in R^{\mathbb{B}}.$$

In this case we write $\mathbb{A} \rightarrow \mathbb{B}$.

$\text{CSP}(\mathbb{A})$ is the membership problem of the class

$$\{\mathbb{S} \mid \mathbb{S} \text{ is a } \tau\text{-structure and } \mathbb{S} \rightarrow \mathbb{A}\}.$$

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Example

$\text{CSP}(\mathbb{K}_3)$ is equivalent to the **3-colorability problem**.

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- \mathbb{A} : τ -structure;
- $\phi(x_1, \dots, x_n)$: a τ -formula with n free-variables x_1, \dots, x_n .

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We call $R = \{(a_1, \dots, a_n) \mid \mathbb{A} \models \phi(a_1, \dots, a_n)\}$ *the relation defined by ϕ* .

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\mathbb{B} is a *pp-power* of \mathbb{A} if \mathbb{B} is isomorphic to a structure \mathbb{P} such that

- the domain of \mathbb{P} is A^n , $n \geq 1$;
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Definition

\mathbb{A} *pp-constructs* \mathbb{B} if \mathbb{B} is homomorphically equivalent to a pp-power of \mathbb{A} .

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Theorem (Barto, Opršal, Pinsker 2015)

If \mathbb{A} pp-constructs \mathbb{B} , then $\text{CSP}(\mathbb{B}) \leq_{\log} \text{CSP}(\mathbb{A})$.

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Aut(\mathbb{A}) is NOT the right notion of symmetry!

For every finite structure \mathbb{A} , there exists a finite structure \mathbb{B} s.t.:

- \mathbb{A} and \mathbb{B} pp-construct each other (same complexity)
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Reason: \mathbb{K}_3 has few symmetries.

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An operation $f: A^n \rightarrow A$ *preserves* a k -ary relation R on A if

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- $\text{Inv}(F) = \{R \mid R \text{ is invariant under every operation in } F\}$.

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Theorem (Geiger '68; Bodnarčuk, Kalužnin, Kotov, Romov '69)

If F is a set of operations on a finite domain, then $\text{Pol}(\text{Inv}(F)) = \langle F \rangle$.

A Galois connection for clones

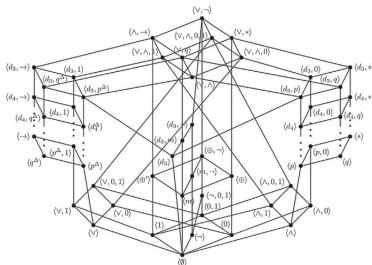
Corollary

*All clones over a finite n -element set form a **lattice** \mathfrak{L}_n under inclusion.*

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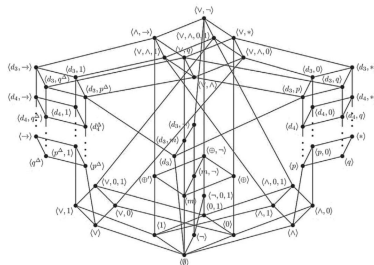
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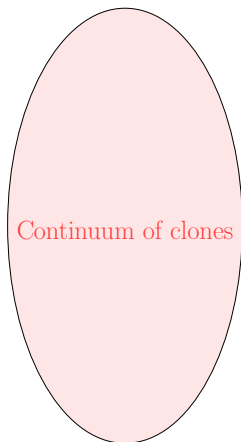
Theorem

- \mathbb{A}, \mathbb{B} : relational structures on the same finite universe A ,
- $\mathcal{A} = \text{Pol}(\mathbb{A})$ and $\mathcal{B} = \text{Pol}(\mathbb{B})$.

\mathbb{A} pp-defines $\mathbb{B} \iff \mathcal{A} \subseteq \mathcal{B}$.

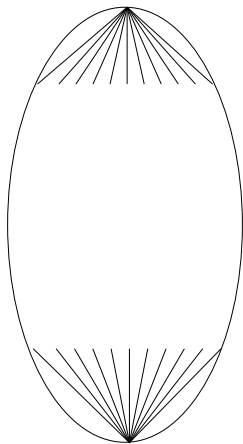
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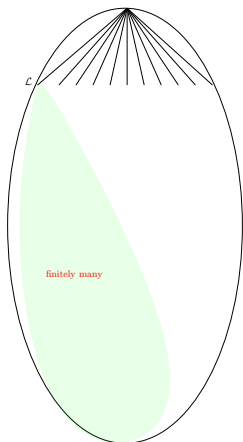
There exists a **continuum** of clones over $\{0, 1, 2\}$ (Yanov, Muchnik '59).

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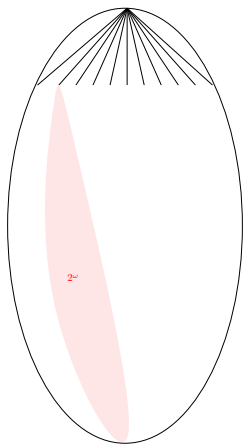
😊 Description of all maximal and minimal clones.
(Jablonskij '54; Csákány '83)

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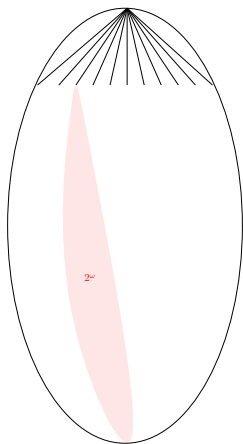
All maximal clones – except the clone of all linear functions – contain a **continuum** of subclones (Demetrovics, Hannak '83; Marchenkov '83).

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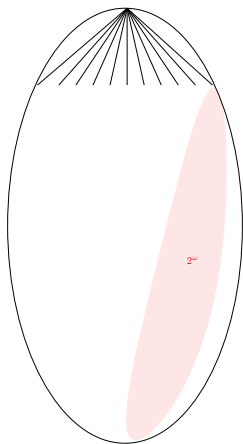
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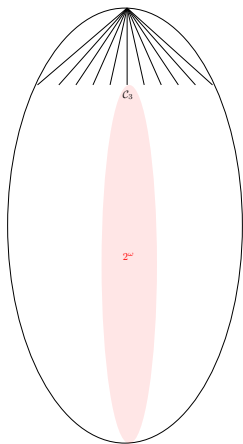
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😊 D. Zhuk: "Continuum is not a problem" (2015).

Coffee break!



A new order

What we want: \mathbb{A} pp-constructs $\mathbb{B} \iff \text{Pol}(\mathbb{A}) \text{ ?? } \text{Pol}(\mathbb{B})$.

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Definition

- τ : set of function symbols;
A *minor identity (height 1 identity)* is an identity of the form

$$f(x_1, \dots, x_n) \approx g(y_1, \dots, y_m)$$

where $f, g \in \tau$ and $x_1, \dots, x_n, y_1, \dots, y_m$ are not necessarily distinct.

- *Minor condition:* Finite set of minor identities.

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Example

$$f(x, y) \approx f(y, x) \quad \checkmark$$

$$f(f(x, y), z) \approx f(x, f(y, z)) \quad \times$$

$$m(x, x, y) \approx m(y, x, x) \approx y \quad \times$$

(Mal'cev)

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$$m(x, x, y) \approx m(y, x, x) \approx m(y, y, y) \quad \checkmark \quad (\text{quasi Mal'cev})$$

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 : $\text{Pol}(\mathbb{K}_3)$ does not satisfy any non-trivial minor condition.

Equivalently: $\text{Pol}(\mathbb{K}_3)$ does not satisfy

$$s(x, y, z, x, y, z) \approx s(y, x, x, z, z, y).$$

Minors and Reflections

Let f be any n -ary operation and $\sigma: \{1, \dots, n\} \rightarrow \{1, \dots, r\}$.

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Theorem (Birkhoff, 1935)

Let \mathcal{A}, \mathcal{B} be clones over finite sets. The following are equivalent:

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- 2 $\mathcal{B} \in \mathbf{ERP}_{\text{fin}}(\mathcal{A})$. **NO GALOIS CONNECTION**

Motivation: CSP

Theorem (Barto, Opršal, Pinsker, 2015)

Let \mathbb{A}, \mathbb{B} be finite relational structures; $\mathcal{A} = \text{Pol}(\mathbb{A})$, $\mathcal{B} = \text{Pol}(\mathbb{B})$. TFAE:

- 1 There exists a minor-preserving map from \mathcal{A} to \mathcal{B} ($\mathcal{A} \leq_m \mathcal{B}$);
- 2 \mathbb{A} pp-constructs \mathbb{B} ($\mathbb{A} \leq_{\text{Con}} \mathbb{B}$);
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Great achievement: CSP Dichotomy Theorem!

- positive solution to the Feder-Vardi conjecture, open since 1998;
- new algebraic theories for finite algebras
(Absorption, Bulatov-edges, strong subalgebras,...)

Theorem (Bulatov 2017; Zhuk 2017)

If there is no minor-preserving map from \mathcal{A} to \mathcal{P}_2 , then $\text{CSP}(\mathbb{A})$ is in P .
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If \mathbb{A} does not pp-construct $\mathbb{K}_3 = (\{0, 1, 2\}; \neq)$, then $\text{CSP}(\mathbb{A})$ is in P.
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Algebra meets CSP

Theorem (Barto, Opršal, Pinsker, 2015)

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Theorem (Bulatov 2017; Zhuk 2017)

If \mathcal{A} satisfies a non-trivial minor condition, then $\text{CSP}(\mathbb{A})$ is in P.
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The pp-constructability poset

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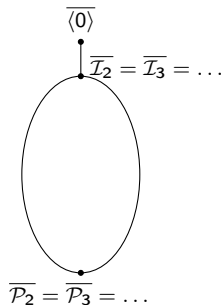
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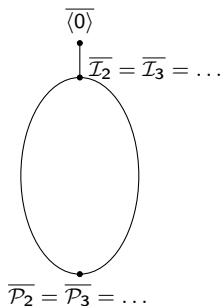
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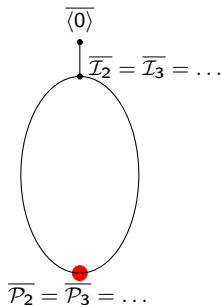
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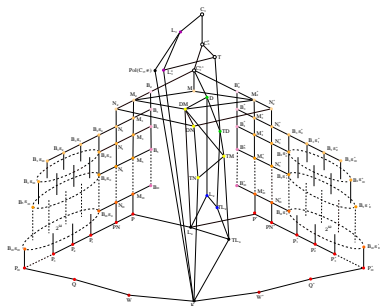
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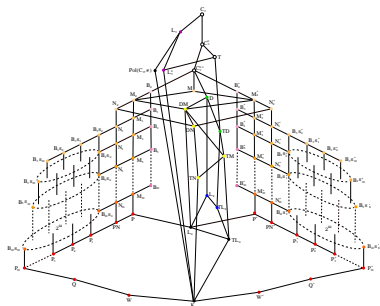


How powerful are minor-preserving maps?

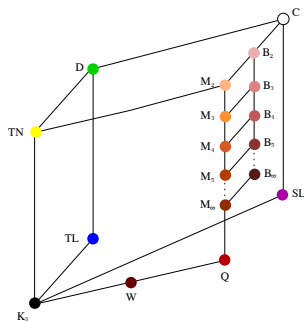


Clones of self-dual operations
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Clones of self-dual operations
modulo minor-equivalence
(Bodirsky, V., Zhuk 2023)

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- for every $f \in \text{Pol}(\mathbb{A})$, $g \in \text{Pol}(\mathbb{B})$; define an operation h on $A \times B$
 $h := (f, g) \in \text{Pol}(\mathbb{A}) \times \text{Pol}(\mathbb{B})$ as follows

$$h((a_1, b_1), \dots, (a_n, b_n)) := (f(a_1, \dots, a_n), g(b_1, \dots, b_n))$$

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- $\Gamma^{\mathbb{A} \otimes \mathbb{B}} := \text{Inv}(\{(f, g) \mid f \in \text{Pol}(\mathbb{A}), g \in \text{Pol}(\mathbb{B})\})$; we define

$$\mathbb{A} \otimes \mathbb{B} := (A \times B; \Gamma^{\mathbb{A} \otimes \mathbb{B}}).$$

Proposition

$\overline{\mathbb{A} \otimes \mathbb{B}}$ is the greatest lower bound of $\overline{\mathbb{A}}$ and $\overline{\mathbb{B}}$.

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Theorem

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- take $\mathbb{B} = \mathbb{A} \otimes \mathbb{C}_p$
 - 1 $\mathbb{B} \not\models \Sigma_p \implies \overline{\mathbb{B}} <_{\text{Con}} \overline{\mathbb{A}}$
 - 2 $\mathbb{B} \models \Sigma_q$, for some $q > p \cdot |\mathbb{A}| \implies \overline{\mathbb{B}} \neq \overline{\mathbb{K}_3}$.

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Where to look:

- Minimal Taylor Clones
Barto, Brady, Bulatov, Kozik, and Zhuk ([2021](#))

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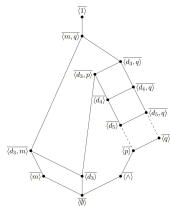
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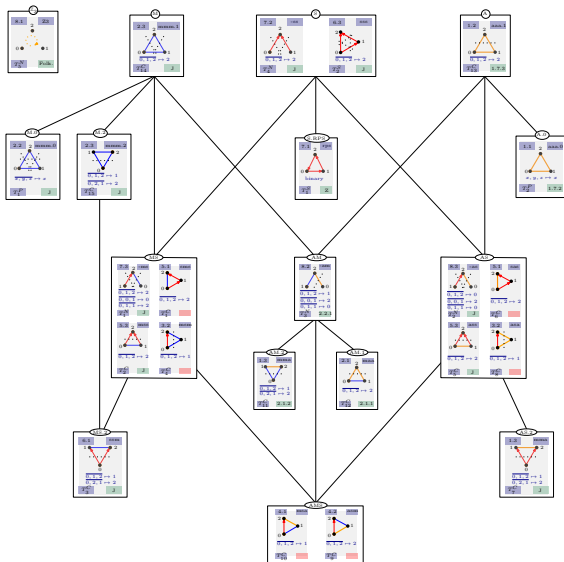
1 $n = 2$ Minimal Taylor clones: $\langle \vee \rangle$, $\langle \wedge \rangle$, $\langle d_3 \rangle$, $\langle m \rangle$

Atoms in \mathfrak{P}_2 : $\overline{\langle \vee \rangle} = \overline{\langle \wedge \rangle}$, $\overline{\langle m \rangle}$, $\overline{\langle d_3 \rangle}$.



2 $n = 3$ False! \implies "Atoms are better than Minimal Taylor"
(Barto, Brady, Jankovec, V., Zhuk)

Are there atoms in \mathfrak{P}_n ?



Submaximal elements in \mathfrak{P}_3

\mathbb{C}_p : directed cycle of length p ;

$\mathbb{B}_2 = (\{0, 1\}; \{(0, 1), (1, 0), (1, 1)\})$.

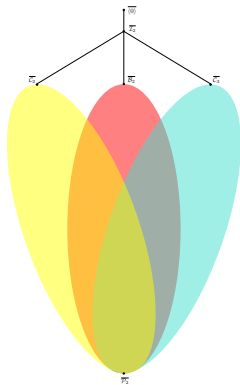
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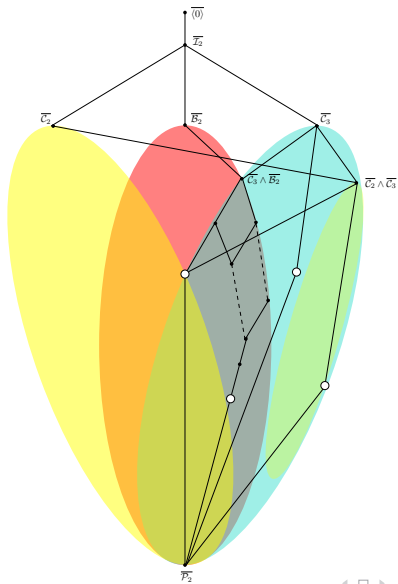
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Theorem (V., Zhuk)

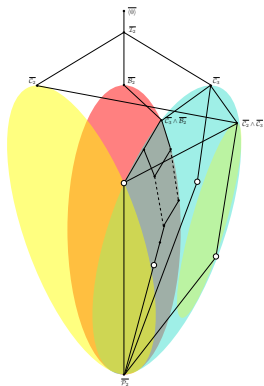
\mathfrak{P}_3 has exactly three submaximal elements: $\overline{\mathbb{C}_2}$, $\overline{\mathbb{C}_3}$, and $\overline{\mathbb{B}_2}$



Submaximal elements in \mathfrak{P}_3

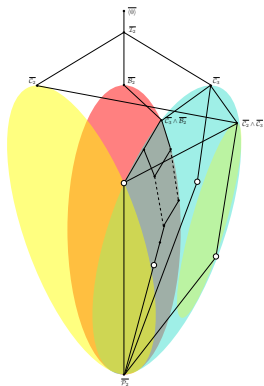


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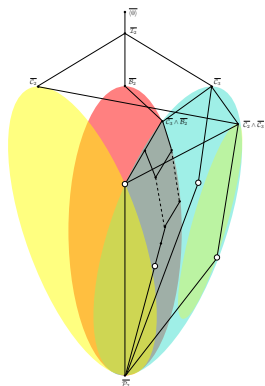


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Theorem (Bulatov 2001)

There are only *finitely* many clones on $\{0, 1, 2\}$ with a *Mal'cev operation*.

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- Below $\overline{C_2}$: Mild! 😊
- Below $\overline{B_2}$: Wild! (potentially 2^ω elements) 😞

Ongoing and future

1 Is $\mathfrak{B}_{\text{fin}}$ a lattice?

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- 4 Clones "defined by binary relations"
see D. Zhuk, **PALS – 14 March 2023** (on Youtube)



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